

Signaling Protocols and Procedures for Citizens Broadband Radio Service (CBRS): Extensions to Spectrum Access System (SAS) - Citizens Broadband Radio Service Device (CBSD) Interface Technical Specification (Release 2)

Document WINNF-TS-3002

Version V1.2.1 30 June 2021







TERMS, CONDITIONS & NOTICES

This document has been prepared by the SSC Work Group 3 to assist The Software Defined Radio Forum Inc. (or its successors or assigns, hereafter "the Forum"). It may be amended or withdrawn at a later time and it is not binding on any member of the Forum or of the SSC Work Group 3.

Contributors to this document that have submitted copyrighted materials (the Submission) to the Forum for use in this document retain copyright ownership of their original work, while at the same time granting the Forum a non-exclusive, irrevocable, worldwide, perpetual, royalty-free license under the Submitter's copyrights in the Submission to reproduce, distribute, publish, display, perform, and create derivative works of the Submission based on that original work for the purpose of developing this document under the Forum's own copyright.

Permission is granted to the Forum's participants to copy any portion of this document for legitimate purposes of the Forum. Copying for monetary gain or for other non-Forum related purposes is prohibited.

THIS DOCUMENT IS BEING OFFERED WITHOUT ANY WARRANTY WHATSOEVER, AND IN PARTICULAR, ANY WARRANTY OF NON-INFRINGEMENT IS EXPRESSLY DISCLAIMED. ANY USE OF THIS SPECIFICATION SHALL BE MADE ENTIRELY AT THE IMPLEMENTER'S OWN RISK, AND NEITHER THE FORUM, NOR ANY OF ITS MEMBERS OR SUBMITTERS, SHALL HAVE ANY LIABILITY WHATSOEVER TO ANY IMPLEMENTER OR THIRD PARTY FOR ANY DAMAGES OF ANY NATURE WHATSOEVER, DIRECTLY OR INDIRECTLY, ARISING FROM THE USE OF THIS DOCUMENT.

Recipients of this document are requested to submit, with their comments, notification of any relevant patent claims or other intellectual property rights of which they may be aware that might be infringed by any implementation of the specification set forth in this document, and to provide supporting documentation.

This document was developed following the Forum's policy on restricted or controlled information (Policy 009) to ensure that that the document can be shared openly with other member organizations around the world. Additional Information on this policy can be found here: http://www.wirelessinnovation.org/page/Policies_and_Procedures.

Although this document contains no restricted or controlled information, the specific implementation of concepts contain herein may be controlled under the laws of the country of origin for that implementation. Readers are encouraged, therefore, to consult with a cognizant authority prior to any further development.

Wireless Innovation Forum TM and SDR Forum TM are trademarks of the Software Defined Radio Forum Inc.





Table of Contents

| Τŀ | ERMS, CON | DITIONS & NOTICES | i |
|----|--------------|--|-----|
| Co | ontributors | | vii |
| 1 | Introduction | n | 1 |
| 2 | Scope | | 1 |
| 3 | References. | | 1 |
| | 3.1 Norm | native references | 1 |
| 4 | Definitions | and abbreviations | 2 |
| | 4.1 Abbr | eviations | 2 |
| | 4.2 Defin | nitions | 2 |
| 5 | Architectur | e of SAS-CBSD Interfaces | 3 |
| | 5.1 Basel | line Architecture | 3 |
| 6 | Release 2 N | Mandatory and Optional Features | 3 |
| | 6.1 Gene | ral | 3 |
| | 6.2 Featu | re Capability Exchange | 3 |
| | 6.2.1 | General | 3 |
| | 6.2.2 | Backward and Forward Compatibility Principles of Inter-Release Operation | 4 |
| | 6.2.3 | Details of Feature Capability Exchange | 5 |
| | 6.3 Enha | nced CBSD Group Handling (FID: WF_ENH_GROUP_HANDLING) | 7 |
| | 6.3.1 | General | 7 |
| | 6.3.2 | Release 2 Feature Dependencies and Interworking | 8 |
| | 6.3.3 | Description | 8 |
| | 6.4 Enha | nced Antenna Pattern (FID: WF_ENH_ANTENNA_PATTERN) | 11 |
| | 6.4.1 | General | 11 |
| | 6.4.2 | Release 2 Feature Dependencies and Interworking | 11 |
| | 6.4.3 | Description | 11 |
| | 6.5 CPE- | -CBSD Indicator (FID: WF_CPE_CBSD_INDICATOR) | 11 |
| | 6.5.1 | General | 11 |
| | 6.5.2 | Release 2 Feature Dependencies and Interworking | 11 |
| | 6.5.3 | Description | 12 |
| 7 | Extensions | to Message Encoding and Transport | 12 |
| | 7.1 SAS | URLs of Different Releases | 12 |
| | 7.2 SAS | Method Names | 12 |
| 8 | Baseline Pa | rameters of SAS-CBSD Messages and Extensions | 13 |
| | 8.1 Gene | ral | 13 |
| | 8.1.1 | Label for Extension Parameters and Response Codes | 14 |
| | 8.1.2 | JSON Data Type | 14 |
| | 8.1.3 | Parameter Inclusion Criteria | |
| | 8.1.4 | REG-Conditional Registration Parameters | 15 |





| 8.2 | Regis | tration Request Message | . 15 |
|------|--------|--|------|
| 8 | 3.2.1 | RegistrationRequest object | . 15 |
| 8 | 3.2.2 | AirInterface object | . 17 |
| 8 | 3.2.3 | InstallationParam object | . 18 |
| 8 | 3.2.4 | CbsdInfo object | . 21 |
| 8 | 3.2.5 | CpiSignatureData object | . 22 |
| 8 | 3.2.6 | CpiSignedData object | . 23 |
| 8 | 3.2.7 | ProfessionalInstallerData object | . 23 |
| 8 | 3.2.8 | GroupParam object | . 24 |
| 8.3 | Regis | tration Response Message | . 24 |
| 8 | 3.3.1 | RegistrationResponse object | . 25 |
| 8 | 3.3.2 | Response Object | . 26 |
| 8 | 3.3.3 | GroupConfig object | . 27 |
| 8 | 3.3.4 | GroupInfoResponse Object | . 29 |
| 8.4 | Featu | re Capability Exchange Request Message | . 29 |
| 8 | 3.4.1 | FeatureCapabilityExchangeRequest object | . 30 |
| 8 | 3.4.2 | FeatureInfo object | . 31 |
| 8 | 3.4.3 | SasFeatureData object | . 31 |
| 8 | 3.4.4 | CbsdFeatureData object | . 32 |
| 8.5 | Featu | re Capability Exchange Response Message | . 32 |
| 8 | 3.5.1 | FeatureCapabilityExchangeResponse object | . 32 |
| 8.6 | Spect | rum Inquiry Request Message | . 34 |
| 8 | 3.6.1 | SpectrumInquiryRequest object | . 34 |
| 8 | 3.6.2 | FrequencyRange object | . 34 |
| 8.7 | Spect | rum Inquiry Response Message | . 35 |
| 8 | 3.7.1 | SpectrumInquiryResponse object | . 35 |
| 8 | 3.7.2 | AvailableChannel object | . 36 |
| 8.8 | Grant | Request Message | . 36 |
| 8 | 3.8.1 | GrantRequest object | . 37 |
| 8 | 3.8.2 | OperationParam object | . 37 |
| 8.9 | Grant | Response Message | . 38 |
| 8 | 3.9.1 | GrantResponse object | . 38 |
| 8.10 | Heart | beat Request Message | . 40 |
| 8 | 3.10.1 | HeartbeatRequest object | . 40 |
| 8.11 | Heart | beat Response Message | .41 |
| 8 | 3.11.1 | HeartbeatResponse object | . 42 |
| 8.12 | Relin | quishment Request Message | . 44 |
| 8 | 3.12.1 | RelinquishmentRequest object | . 44 |
| 8.13 | Relin | quishment Response Message | . 44 |
| 8 | 3.13.1 | RelinquishmentResponse object | . 45 |
| 8.14 | Dereg | gistration Request Message | . 45 |





| 8.14.1 DeregistrationRequest object | 46 |
|---|----|
| 8.15 Deregistration Response Message | |
| 8.15.1 DeregistrationResponse object | |
| 8.16 Response Codes and Data | |
| Appendix A (Informative) Document History | 53 |





List of Figures

List of Tables Table 2: Common Definitions of groupInfoResponseCode for any Group type and ID10 Table 17: GroupConfig Object Definition......27





| Table 35: Grant Response Message | 38 |
|--|----|
| Table 36: GrantResponse Object Definition | 38 |
| Table 37: Heartbeat Request Message | 40 |
| Table 38: HeartbeatRequest Object Definition | 40 |
| Table 39: Heartbeat Response Message | 41 |
| Table 40: HeartbeatResponse Object Definition | 42 |
| Table 41: Relinquishment Request Message | 44 |
| Table 42: RelinquishmentRequest Object Definition | 44 |
| Table 43: Relinquishment Response Message | 44 |
| Table 44: RelinquishmentResponse Object Definition | 45 |
| Table 45: Deregistration Request Message | 45 |
| Table 46: DeregistrationRequest Object Definition | |
| Table 47: Deregistration Response Message | 46 |
| Table 48: DeregistrationResponse Object Definition | |
| Table 49: Response Code Definitions | 47 |
| Table 50: responseData Definitions | 51 |





Contributors

The following individuals made significant contributions to this document:

Group Chair: Navin Hathiramani (Nokia)

Editor: Sho Furuichi (Sony Group Corporation)

Feature Leads:

- **Feature Capability Exchange**: Virgil Cimpu (Ericsson), Sho Furuichi (Sony Group Corporation), Navin Hathiramani (Nokia), Yi Hsuan (Google), Masoud Olfat (Federated Wireless), Idan Raz (Airspan)
- Enhanced CBSD Group Handling: Virgil Cimpu (Ericsson), Sho Furuichi (Sony Group Corporation), Navin Hathiramani (Nokia), Yi Hsuan (Google)
- Enhanced Antenna Pattern: Virgil Cimpu (Ericsson)
- **CPE-CBSD Indicator**: Yi Hsuan (Google), Masoud Olfat (Federated Wireless)

Other Member Representatives:

- AT&T: Neeti Tandon
- CableLabs: Roy Sun, Zaheer Syed
- Charter: Akram Hassanien
- CommScope: Ariful Hannan
- Ericsson: Kumar Balachandran, Gary Boudreau, Chris Williams
- Google: Kate Harrison
- iPosi: Derek Glass
- MITRE: Dawn Szelc
- Motorola Solutions: David Gurney
- NIST: Anirudha Sahoo
- Nokia: Orlett Pearson
- Qualcomm: Doug Knisely
- Samsung: Shivani Sahi
- Sony Group Corporation: Naotaka Sato
- Tarana: Christopher Saleem
- Verizon: Max Solondz
- WISPA: Richard Bernhardt, Fred Goldstein





Extensions to Spectrum Access System (SAS) - Citizens Broadband Radio Service Device (CBSD) Interface Technical Specification (Release 2)

1 Introduction

This document is the technical specification of the extended signaling protocol and procedures for the SAS-CBSD Interface. As a prerequisite or foundation, any readers should use this specification together with WINNF-TS-0016 [n.1].

2 Scope

The scope of this document is to specify the extensions to the SAS-CBSD Interface in accordance with WINNF-TS-0016 [n.1] and requirements specified in WINNF-TS-0112 [n.2] and WINNF-TS-1001 [n.3].

The key words "required", "shall", "shall not", "should", "should not", "recommended", "may", and "optional" in this document are to be interpreted as described in RFC-2119 [n.4]. In addition, the key word "conditional" shall be interpreted to mean that the definition is an absolute requirement of this specification only if the stated condition is met.

3 References

3.1 Normative references

The following referenced documents are necessary for the application of the present document.

- [n.1] WINNF-TS-0016-V1.2.6, "Signaling Protocols and Procedures for Citizens Broadband Radio Service (CBRS): Spectrum Access System (SAS) - Citizens Broadband Radio Service Device (CBSD) Interface Technical Specification", Wireless Innovation Forum
- [n.2] WINNF-TS-0112, "Requirements for Commercial Operation in the U.S. 3550-3700 MHz Citizens Broadband Radio Service Band", Wireless Innovation Forum
- [n.3] WINNF-TS-1001, "CBRS Operational and Functional Requirements (Release 2)", Wireless Innovation Forum
- [n.4] RFC-2119, "Key words for use in RFCs to Indicate Requirement Levels", March 1997.
- [n.5] WINNF-SSC-0011, "Spectrum Sharing Committee Policy and Procedure SSC Abbreviations and Definitions", Wireless Innovation Forum
- [n.6] WINNF-SSC-0013, "WInnForum Registry of CBRS Vendor/Admin IDs for Third-Party Proprietary Feature Prefixes", Wireless Innovation Forum
- [n.7] Electronic Code of Federal Regulations, Title 47, Chapter I, Subchapter D, Part 96 Citizens Broadband Radio Service, https://www.ecfr.gov/cgi-bin/text-idx?node=pt47.5.96
- [n.8] RFC-7542, "The Network Access Identifier", DeKok, May 2015
- [n.9] Electronic Code of Federal Regulations, Title 47, Chapter I, Subchapter A, Part 2, Subpart J, §2.926 FCC identifier, https://www.ecfr.gov/cgi-bin/text-idx?node=se47.1.2_1926
- [n.10] Electronic Code of Federal Regulations, Title 47, Chapter I, Subchapter A, Part 2, Subpart D, §2.302 Call signs, https://www.ecfr.gov/cgi-bin/text-idx?node=se47.1.2_1302





- [n.11] WINNF-SSC-0002, "Signaling Protocols and Procedures for Citizens Broadband Radio Service (CBRS): WInnForum Recognized CBRS Air Interfaces and Measurements", Wireless Innovation Forum
- [n.12] WINNF-SSC-0010, "Signaling Protocols and Procedures for Citizens Broadband Radio Service (CBRS): WInnForum Recognized CBRS Grouping Information", Wireless Innovation Forum
- [n.13] NGA.STND.0036_1.0.0_WGS84 (Version 1.0.0 July 8, 2014): Department of Defense (DoD) World Geodetic System (WGS) 1984
- [n.14] RFC-7515, "JSON Web Signature (JWS)", Jones, Bradley and Sakimura, May 2015
- [n.15] RFC-4648, "The Base16, Base32, and Base64 Data Encodings", Josefsson, October 2006
- [n.16] RFC-3339, "Date and Time on the Internet: Timestamps", Klyne, Newman, July 2002
- [n.17] RFC-7159, "The JavaScript Object Notation (JSON) Data Interchange Format", December 2017
- [n.18] <u>RFC-3986.</u> "Uniform Resource Identifier (URI): Generic Syntax", Berners-Lee, Fielding, Masinter, January 2005
- [n.19] RFC-1035, "Domain Names Implementation and Specification", Mockapetris, November 1987
- [n.20] RFC-2818, "HTTP Over TLS", Rescorla, May 2000
- [n.21] <u>RFC-2616</u>, "Hypertext Transfer Protocol -- HTTP/1.1", Fielding, Gettys, Mogul, Frystyk, Masinter, Leach and Berners-Lee, June 1999
- [n.22] <u>RFC-7231</u>, "Hypertext Transfer Protocol (HTTP/1.1): Semantics and Content", Fielding, Reschke, June 2014
- [n.23] WINNF-TS-0245, "Operations for Citizens Broadband Radio Service (CBRS): Priority Access License (PAL) Database Technical Specification", Wireless Innovation Forum
- [n.24] WINNF-TS-5006, "Operations for Citizens Broadband Radio Service (CBRS): CBSD Antenna Pattern Database Technical Specifications", Wireless Innovation Forum
- [n.25] WINNF-TS-4005, "CBRS Release 2 Self-Testing Policy", Wireless Innovation Forum

4 Definitions and abbreviations

4.1 Abbreviations

For the purposes of the present document, the abbreviations and definitions given in [n.5] and the following apply.

FID Feature ID

4.2 Definitions

For the purposes of the present document, the abbreviations and definitions given in [n.5] and the following apply.

Antenna Pattern Identifier: A string identifying the explicit antenna pattern.

Authorized State: The state of a Grant where it is currently approved by the SAS and that the radio transmission using the Grant is allowed to occur.

Feature Capability List: A list of Feature IDs representing all operationally supported features of a SAS or a CBSD.

Feature ID: A string identifying the name of a Release 2 feature.

NOTE: Feature IDs applicable to this specification are WInnForum-defined FIDs included in this document or Third-Party Proprietary FIDs (see section 6.2.1).





Granted State: The state of a Grant where it is currently approved by the SAS but radio transmission using the Grant is not permitted.

Operationally Supported Feature ID: A Feature ID whose corresponding feature is enabled for operational use.

NOTE: Any feature which is enabled for a SAS by the SAS Administrator or

which is enabled for a CBSD/DP by the CBSD User is considered as

"operationally supported".

Registered State: The state of a CBSD when it is currently registered with a SAS.

Unregistered State: The state of a CBSD when it is not currently registered with a SAS.

5 Architecture of SAS-CBSD Interfaces

5.1 Baseline Architecture

There is no change concerning the architecture from WINNF-TS-0016 [n.1].

6 Release 2 Mandatory and Optional Features

6.1 General

Section 6 defines the procedure enhancements for the SAS-CBSD Interface. Minimum requirements to claim compliance with WInnForum Release 2 SAS-CBSD Protocol specified in this document are the following:

- (i) To support Feature Capability Exchange as specified in section 6.2;
- (ii) To support additions made to "RELEASE 2 ENHANCEMENT" parameters and response codes marked as "Mandatory"; and
- (iii) To support "RELEASE 2" parameters and response codes marked as "Mandatory".
 - NOTE 1: Labels "RELEASE 2 ENHANCEMENT" and "RELEASE 2" are
 - defined in section 8.1.1 of this document.
 - NOTE 2: Items (ii) and (iii) are collectively referred to as "feature-independent

extensions" in this document and considered for Release 2

functionalities.

6.2 Feature Capability Exchange

6.2.1 General

This section defines Feature Capability Exchange which ensures backward and forward compatibility between different releases of SAS and CBSD. Operational support of this feature is mandatory for Release 2 operation.

Section 6.2.2 gives backward and forward compatibility principles of inter-release operation. Section 6.2.3 defines details of Feature Capability Exchange and the required procedure.

Feature Capability Exchange is specified to facilitate the exchange of both WInnForum defined Feature IDs and Third-Party Proprietary Feature IDs (hereinafter "Proprietary Feature IDs"). WInnForum-defined





Feature IDs (prefixed with "WF_") are specified in this document starting with section 6.3. Proprietary Feature IDs shall be prefixed with CBRS Vendor/Admin IDs registered in WINNF-SSC-0013 [n.6].

6.2.2 Backward and Forward Compatibility Principles of Inter-Release Operation

6.2.2.1 Exchange of Feature Capability List

To allow predictable operation between SASs and CBSDs supporting different releases of SAS-CBSD protocols, the SAS-CBSD protocol in this specification is designed to be backward and forward compatible. To ensure backward and forward compatibility, any SAS and CBSD beyond Release 1 shall perform Feature Capability Exchange as specified in section 6.2.3.

The Feature Capability Exchange enables SAS and CBSD to exchange Feature Capability List over the SAS-CBSD interface upon registration and upon change in the operationally supported features. The recipient shall ignore unrecognized Feature IDs received in the Feature Capability List and proceed as per section 6.2.3. If a CBSD does not share its Feature Capability List with a SAS, the SAS shall treat the CBSD as a Release 1 CBSD and shall not send its Feature Capability List to the CBSD. Likewise, if a SAS does not share its Feature Capability List with a CBSD, the CBSD shall treat the SAS as a Release 1 SAS.

Table 1: SAS/CBSD Operation Mode

6.2.2.2 Handling of Release 2 Parameters

6.2.2.2.1 Inclusion of Feature-Specific Parameters

Feature-specific parameters are always Conditional, Optional, or REG-Conditional and identified with the corresponding Feature ID in the section 8 of this document. If both SAS and CBSD operationally support a feature (see section 6.2.3.1), the corresponding feature-specific parameter(s) shall be included in the messages as defined in section 8 of this document.

6.2.2.2.2 Parameter Warning in Successful Procedures

The Release 2 SAS may inform a Release 2 CBSD about a parameter warning in successfully completed procedures (i.e. response code 0 (SUCCESS)) by using *responseData* and *responseMessage*. The CBSD shall accept *responseData* and *responseMessage* with response code 0 (SUCCESS).

See sections 6.2.2.2.3 and 6.2.2.2.4 for more details of parameter warnings.

6.2.2.2.3 Handling of Unrecognized Parameters

A Release 2 SAS and a Release 2 CBSD shall ignore a parameter in a received message if the parameter name is unrecognized or the feature associated with the parameter is not operationally supported by both the SAS and the CBSD.





The Release 2 SAS should inform a Release 2 CBSD, as per section 6.2.2.2.2, about an unrecognized parameter received in a request message, if the SAS is able to properly process and operate with the rest of the parameters in the request message.

6.2.2.2.4 Handling of Parameters with Invalid Values

The Release 2 SAS should inform a Release 2 CBSD, as per section 6.2.2.2.2, about a parameter with an invalid value received in a request message, if the SAS is able to properly process and operate with the rest of the required parameters associated with the feature in the request message.

6.2.3 Details of Feature Capability Exchange

6.2.3.1 Feature Capability Exchange in the CBSD Registration Procedure

For Release 2 operation, CBSD Registration procedure is extended so that SAS and CBSD can perform Feature Capability Exchange.

During CBSD Registration procedure, the CBSD shall include in the Registration Request its Feature Capability List via *cbsdFeatureCapabilityList* in accordance with the specified condition in section 8.2. *cbsdFeatureCapabilityList* may be an empty list if CBSD operationally supports only feature-independent extensions without operationally supporting any Release 2 feature.

SAS shall ignore Feature IDs listed in the *cbsdFeatureCapabilityList* which are not Operationally Supported Feature IDs of the SAS.

The SAS shall include in the Registration Response its Feature Capability List via sasFeatureCapabilityList in accordance with the specified condition in section 8.2. The sasFeatureCapabilityList may be an empty list if SAS operationally supports only feature-independent extensions without operationally supporting any Release 2 feature.

CBSDs shall ignore Feature IDs listed in the *sasFeatureCapabilityList* which are not operationally supported by the CBSD.

Upon successful completion of CBSD Registration procedure, CBSD and SAS shall understand the common Feature IDs that are operationally supported by both parties and shall operate with the common operationally supported Feature IDs.

6.2.3.2 Feature Capability Exchange Procedure

Feature Capability Exchange Procedure is a procedure for CBSDs in the *Registered* State to exchange Operationally Supported FIDs and the associated feature-specific data with the managing SAS.

The Feature Capability Exchange Procedure allows CBSD and SAS to update (add/remove/modify) their current list of Operationally Supported Feature IDs. The CBSD may update its Feature Capability List using <code>cbsdFeatureCapabilityList</code> in the Feature Capability Exchange Request Message. The SAS may update its Feature Capability List using <code>sasFeatureCapabilityList</code> in the Feature Capability Exchange Response Message.

This procedure may be initiated for CBSDs in Registered State at any time.





The managing SAS may request the CBSD to initiate the Feature Capability Exchange Procedure through responses of CBSD Spectrum Inquiry Procedure, CBSD Grant Procedure, CBSD Heartbeat Procedure and CBSD Grant Relinquishment Procedure. When CBSD receives this request via featureCapabilityExchangeTrigger parameter from the managing SAS, the Feature Capability Exchange Procedure shall be initiated by CBSD/DP.

Figure 1 shows message flow diagram of Feature Capability Exchange Procedure.

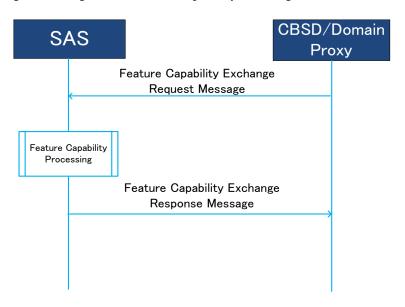


Figure 1: Message flow diagram of Feature Capability Exchange Procedure

This procedure consists of three steps:

- Feature Capability Exchange Request Message from CBSD/DP to SAS
- Feature capability processing at SAS
- Feature Capability Exchange Response Message from SAS to CBSD/DP

6.2.3.2.1 Feature Capability Exchange Request Message

The *cbsdFeatureCapabilityList* in Feature Capability Exchange Request shall include all the Operationally Supported Feature IDs of the CBSD with the respective feature-specific data of the included Feature IDs.

The Feature Capability List (*cbsdFeatureCapabilityList*) may be an empty list if CBSD operationally supports only feature-independent extensions without operationally supporting any Release 2 feature.

6.2.3.2.2 Feature Capability Processing

After receiving Feature Capability Exchange Request Message from the CBSD/DP, the SAS shall perform the following tasks:

- Ignoring Feature IDs listed in the Feature Capability Exchange Request Message which are not operationally supported by the SAS.
- Treating the latest *cbsdFeatureCapabilityList* and *cbsdFeatureInfo* received from CBSD as the full list of CBSD's Operationally Supported Feature IDs and complete information associated with the list of those IDs, superseding any previous *cbsdFeatureCapabilityList* and *cbsdFeatureInfo* received from CBSD.





• Creating its latest Feature Capability List (*sasFeatureCapabilityList*) and applicable feature-specific data (*sasFeatureInfo*) to be included in the Feature Capability Exchange Response Message.

The Feature Capability List (*sasFeatureCapabilityList*) may be an empty list if SAS operationally supports only feature-independent extensions without operationally supporting any Release 2 feature.

6.2.3.2.3 Feature Capability Exchange Response Message

After the feature capability processing, the SAS shall generate and send a Feature Capability Exchange Response Message to the requesting CBSD/DP.

For the successful Feature Capability Exchange Procedure, the SAS shall include the response code 0 (SUCCESS) in the Feature Capability Exchange Response Message. Additionally, the SAS shall include its latest Feature Capability List (*sasFeatureCapabilityList*) and, if any, the respective feature-specific dataset (*sasFeatureInfo*) (see section 6.2.3.2.2).

CBSD shall ignore Feature IDs listed in the *sasFeatureCapabilityList* received from SAS which are not operationally supported by the CBSD.

CBSD shall treat the latest *sasFeatureCapabilityList* and *sasFeatureInfo* received from SAS as the Feature Capability List and the feature-specific data of the SAS, respectively, superseding any previous Feature Capability List and the feature-specific data received from SAS.

Upon successful completion of Feature Capability Exchange Procedure, CBSD and SAS shall operate with features corresponding to the common Features IDs in the exchanged Feature Capability Lists.

Other response codes applicable to the unsuccessful Feature Capability Exchange procedure are as follows:

- 101 (BLACKLISTED)
- 102 (MISSING_PARAM)
- 103 (INVALID_VALUE)
- 105 (DEREGISTER)
- 106 (NOT_PROCESSED)
- A range of 600 699 is reserved for Feature Capability Exchange Procedure.

6.3 Enhanced CBSD Group Handling (FID: WF_ENH_GROUP_HANDLING)

6.3.1 General

This feature enhances the exchange of grouping information (*GroupParam* object) between CBSD/DP and SAS by allowing the exchange outside the CBSD Registration procedure. In addition, this feature allows grouping configuration information (*GroupConfig* object) to be provided from SAS to CBSD/DP. The list of applicable Group types, Group ID definition and their information is registered in WINNF-SSC-0010 [n.12]. Operational support of this feature is optional for Release 2 operation.

NOTE: Any WInnForum-defined Group types for Release 2 operation (see WINNF-TS-1001 [n.3]) are described in section 6 of this document for traceability purposes. Their details are documented in WINNF-SSC-0010 [n.12].

The Enhanced CBSD Group Handling feature can be used only when both the SAS and the CBSD/DP indicate operational support for this feature in the Feature Capability Exchange. When either SAS or





CBSD/DP does not operationally support this feature, Release 1 grouping information exchange can still be performed (see Release 1 grouping information exchange in WINNF-TS-0016 [n.1]).

This feature does not specify any particular types/values of grouping information listed in WINNF-SSC-0010 [n.12]. This feature enables a SAS and a CBSD to indicate their operational support of Group types and/or Group IDs. Grouping information and grouping configuration information for the Group types and/or Group IDs that are operationally supported by both a SAS and a CBSD shall be exchanged by using this feature.

NOTE: Feature Capability Exchange is not used for the purpose of exchanging operational support of Group types and/or Group IDs between a SAS and a CBSD.

6.3.2 Release 2 Feature Dependencies and Interworking

There is no dependency on other features in this document.

The following Group types are handled by this feature, but this does not exclude usage of other Group types that may be added in WINNF-SSC-0010 [n.12] after publication of this version of this document:

- Coexistence Group (Releases 1 and 2) [n.2] [n.12]
- Principal-Subordinate Single Frequency Group (Release 2) [n.3] [n.12]
- Interdependent Single Frequency Group (Release 2) [n.3] [n.12]
- Separable Single Frequency Group (Release 2) [n.3] [n.12]
- Passive DAS Group (Release 2) [n.3] [n.12]

See definitions and requirements applicable for these Group types in WINNF-TS-0112 [n.2] and WINNF-TS-1001 [n.3]. See also WINNF-SSC-0010 [n.12] for more details of the Group types.

6.3.3 Description

There are two types of Grouping Information objects.

GroupParam object is used to communicate grouping information from the CBSD/DP to SAS. The object includes the Group type, the Group ID and optionally more detailed information of the Group that the CBSD is currently associated with. When the *groupingParam* parameter is included in a Request message from CBSD to the SAS, it shall contain:

- All the Groups that CBSD is a member of and optionally updated information (groupInfo) for any of these Groups
- All new Groups that CBSD desires to be a member of and optionally additional information (*groupInfo*) related to these Groups.

The SAS shall consider the CBSD disassociated with any Groups that were previously reported to the SAS but are not included in the *groupingParam* of the Request message.

GroupConfig object is used to communicate grouping configuration from SAS to CBSD/DP. Upon reception of grouping information in a request message, SAS shall communicate grouping configuration information corresponding to the received grouping information. Grouping configuration information indicates whether the SAS operationally supports the Group type and/or Group ID provided by the CBSD. For operationally supported Group type and Group ID, SAS may indicate more detailed grouping configuration to the CBSD/DP. Even if SAS does not receive grouping information in a request message,





SAS may communicate grouping configuration to CBSD/DP for the Groups operationally supported by both the CBSD/DP and the SAS.

6.3.3.1 Handling of Errors identified by the SAS in Grouping Information from the CBSD

This section specifies handling of errors identified by the SAS in Grouping Information sent from the CBSD to the SAS included in a request message object (i.e. *RegistrationRequest* object, *SpectrumInquiryRequest* object, *GrantRequest* object, *HeartbeatRequest* object and *RelinquishmentRequest* object).

6.3.3.1.1 Not Operationally Supported Group Types and Group IDs

If a SAS does not operationally support the values of groupType and/or groupId parameters specified by the CBSD in one or more GroupParam objects, the SAS shall set the value of those parameters in a GroupConfig object to the same values as in the corresponding GroupParam object and set the value of supportedBySas parameter to false. For RegistrationResponse object, the SAS may use either responseCode 0 (SUCCESS) with the responseData indicating PARAM_WARNING or responseCode 201 (GROUP_ERROR). For SpectrumInquiryResponse, GrantResponse, HeartbeatResponse and RelinquishmentResponse objects, the SAS may use either responseCode 0 (SUCCESS) with the responseData indicating PARAM_WARNING or responseCode 105 (DEREGISTER).

- NOTE 1: If responseCode 0 (SUCCESS) with the responseData indicating PARAM_WARNING is returned in RegistrationResponse object, the CBSD is transitioned to Registered State and can continue to Spectrum Inquiry procedure and Grant procedure. However, the SAS does not consider the CBSD associated with Group(s) whose supportedBySas parameter was set to false.
- NOTE 2: If responseCode 105 (DEREGISTER) or 201 (GROUP_ERROR) is returned, the CBSD is in Unregistered State. The CBSD management system or CBSD User needs to remove all the Groups which were indicated supportedBySas = false from the CBSD's configuration in order for the CBSD to become registered with SAS.

6.3.3.1.2 Indication of Conflicted/Unsupported Combination of Groups

If the SAS determines that any combination of Groups declared by the CBSD leads to conflict or is unsupported by the SAS, the SAS shall indicate it to the CBSD. For *RegistrationResponse* object, the SAS may use either *responseCode* 0 (SUCCESS) with the *responseData* indicating MULTI_GROUP_HANDLING_WARNING or *responseCode* 201 (GROUP_ERROR). For *SpectrumInquiryResponse*, *GrantResponse*, *HeartbeatResponse* and *RelinquishmentResponse* objects, the SAS may use either *responseCode* 0 (SUCCESS) with the *responseData* indicating MULTI_GROUP_HANDLING_WARNING or *responseCode* 105 (DEREGISTER), where the *responseData* for MULTI_GROUP_HANDLING_WARNING shall contain the name of Group type and/or ID. The SAS shall not apply the management policy of the ignored Group(s) to the CBSD.

6.3.3.1.3 Indication of Errors in *GroupInfo* object

In order to indicate errors in the *groupInfo* parameter associated with the *groupType* and/or *groupId* parameters, the SAS may use either non-zero *responseCode* (e.g. 102, 103, 201) or the *groupInfoResponse* parameter in the *GroupConfig* object.





The *groupInfoResponse* parameter includes *groupInfoResponseCode* indicating errors in the *groupInfo* parameter. Basic common values of *groupInfoResponseCode* parameter available for any Group type and ID are defined in Table 2.

Table 2: Common Definitions of groupInfoResponseCode for any Group type and ID

| ${\it group Info Response Code}$ | Description |
|-----------------------------------|---|
| VALUE: 0 NAME: SUCCESS | All the parameters in the <i>groupInfo</i> parameter were successfully processed by the SAS. |
| VALUE: 100 NAME: VERSION | The version of <i>GroupInfo</i> object used by the CBSD is not supported by the SAS. |
| VALUE: 101 NAME: Reserved | Reserved for future version of this document. |
| VALUE: 102 NAME: MISSING_PARAM | One or more Required parameters or Conditional parameters that meet specified inclusion rule are missing from the <i>groupInfo</i> parameter. The <i>groupInfoResponseData</i> parameter may carry names of the missing parameters. |
| VALUE: 103 NAME: INVALID_VALUE | One or more parameters included in the <i>groupInfo</i> parameter have invalid value. The <i>groupInfoResponseData</i> parameter may carry parameter names which have invalid value. |
| VALUE: 104 NAME: Reserved | Reserved for future version of this document. |
| VALUE: 105 NAME: Reserved | Reserved for future version of this document. |
| VALUE: 106 NAME: NOT_PROCESSED | The SAS cannot provide a proper response to the <i>groupInfo</i> parameter temporarily. The CBSD may resend the same <i>groupInfo</i> parameter after receiving this <i>groupInfoResponseCode</i> value. The <i>groupInfoResponseData</i> parameter may carry suggested wait time for the CBSD to resend the <i>groupInfo</i> . |

NOTE: The *groupInfoResponseCode* values in this table are used when a SAS returns a *GroupConfig* object in a Response Message in response to a Request Message including a *GroupParam* object.

Even if a non-zero *groupInfoResponseCode* value is returned by the SAS, the CBSD may continue to operate according to the *responseCode* value in the *Response* object.

In addition to the values defined in Table 2, the organization, entity or individual that specifies a Group type and/or ID may define the acceptable values of *groupInfoResponseCode* parameter dedicated to *GroupInfo* object associated with their Group type and ID. See details in WINNF-SSC-0010 [n.12].





6.4 Enhanced Antenna Pattern (FID: WF ENH ANTENNA PATTERN)

6.4.1 General

This feature enables the use of enhanced antenna patterns for higher efficiency use of the CBRS band in accordance with requirements and applicable use cases provided in WINNF-TS-1001 [n.3]. Operational support of this feature is optional for Release 2 operation.

The Enhanced Antenna Pattern feature can be used only when both the SAS and the CBSD/DP indicate operational support for this feature in the Feature Capability Exchange.

6.4.2 Release 2 Feature Dependencies and Interworking

There is no dependency on other features.

6.4.3 Description

For Release 2, the following enhancements to SAS-CBSD Protocol are defined:

- a. Addition of vertical 3dB-beamwidth (antennaVerticalBeamwidth):
 - o This information is used together with the antenna downtilt (antennaDowntilt)
- b. Extension of antenna model parameter (antennaModel) definition:
 - o It is treated as Antenna Pattern Identifier (ID) for the purpose of this feature.
 - o SAS identifies an explicit antenna pattern by using the Antenna Pattern ID from the CBSD Antenna Pattern Database available to the SAS (see WINNF-TS-5006 [n.24]).
 - Explicit antenna pattern may be a form of either a 1D antenna pattern, one horizontal plus one vertical antenna patterns or a 2D antenna pattern.
 - The explicit antenna pattern is used together with antenna azimuth (*antennaAzimuth*) and antenna downtilt (*antennaDowntilt*).

The CBSD shall declare the support of this feature in the Feature Capability Exchange if it operationally supports either or both of the above enhanced parameters (i.e., a. and/or b.). The SAS shall declare the support of this feature in the Feature Capability Exchange if it supports the above enhanced parameters and meets the requirements defined in WINNF-TS-1001 [n.3].

6.5 CPE-CBSD Indicator (FID: WF_CPE_CBSD_INDICATOR)

6.5.1 General

This feature allows the CBSD to indicate to the SAS that it is a CPE-CBSD. Operational support of this feature is optional for Release 2 operation.

NOTE: See definition of CPE-CBSD in WINNF-SSC-0011 [n.5].

The CPE-CBSD Indicator feature takes effect only when both the SAS and the CBSD/DP indicate operational support for this feature in the Feature Capability Exchange.

6.5.2 Release 2 Feature Dependencies and Interworking

There is no dependency on other features.





6.5.3 Description

The CBSD shall declare operational support of this feature in the Feature Capability Exchange if it operationally supports this feature. If the CBSD indicates operational support of this feature during the CBSD Registration procedure, then the CBSD shall also include the *cpeCbsdIndication* parameter in the Registration Request message. If the CBSD indicates operational support of this feature in the Feature Capability Exchange Request message, then the CBSD shall also include the *cpeCbsdIndication* parameter in the *cbsdFeatureInfo* parameter. The SAS shall declare the support of this feature in the Feature Capability Exchange if it can recognize the information carried in the *cpeCbsdIndication* parameter.

7 Extensions to Message Encoding and Transport

7.1 SAS URLs of Different Releases

A SAS administrator may choose to use the same or different URLs for transporting messages defined in different releases of SAS-CBSD protocols. A SAS administrator shall ensure that all operational URLs are able to properly receive and respond to request messages from CBSDs/DPs supporting different releases of SAS-CBSD protocols pursuant to the backward and forward compatibility principles described in section 6.2.2.

7.2 SAS Method Names

Mapping of the SAS-CBSD messages, the corresponding JSON array names and the corresponding SAS method names shall be defined as per the following table.





Table 3: Mapping of SAS-CBSD Messages to JSON Array Names

| SAS method names and baseline release number | SAS-CBSD Message Name | JSON Array Name |
|---|--|-----------------------------------|
| <pre>sas_method_name: registration</pre> | Registration Request Message | registrationRequest |
| RELEASE: Release 1 | Registration Response Message | registrationResponse |
| <pre>sas_method_name: featureCapabilityExchange</pre> | Feature Capability Exchange Request Message | featureCapabilityExchangeRequest |
| RELEASE: Release 2 | Feature Capability Exchange Response Message | featureCapabilityExchangeResponse |
| <pre>sas_method_name: spectrumInquiry</pre> | Spectrum Inquiry Request Message | spectrumInquiryRequest |
| RELEASE: Release 1 | Spectrum Inquiry Response Message | spectrumInquiryResponse |
| <pre>sas_method_name: grant</pre> | Grant Request Message | grantRequest |
| ELEASE: Release 1 | Grant Response Message | grantResponse |
| <pre>sas_method_name: heartbeat</pre> | Heartbeat Request Message | heartbeatRequest |
| RELEASE: Release 1 | Heartbeat Response Message | heartbeatResponse |
| <pre>sas_method_name: relinquishment</pre> | Relinquishment Request Message | relinquishmentRequest |
| RELEASE: Release 1 | Relinquishment Response Message | relinquishmentResponse |
| <pre>sas_method_name: deregistration</pre> | Deregistration Request Message | deregistrationRequest |
| RELEASE: Release 1 | Deregistration Response Message | deregistrationResponse |

SAS method name shall be used in the SAS URL for the SAS-CBSD Interface as defined in WINNF-TS-0016 [n.1].

8 Baseline Parameters of SAS-CBSD Messages and Extensions

8.1 General

Section 8 defines baseline parameters of SAS-CBSD messages and the extensions specified in section 6.





8.1.1 Label for Extension Parameters and Response Codes

Parameters concerning the extensions specified in section 6 are described with the following column format:

Table 4: Column Format for Extension Parameters

Parameter

NAME: [Name of extension parameter]

DATA TYPE: [One of JSON Data Types specified in section 8.1.2]

EXTENSION TYPE: ["RELEASE 2 ENHANCEMENT" or "RELEASE 2"]

FEATURE ID: [Feature ID(s) or *Mandatory*]

The label "**EXTENSION TYPE**" describes which types of extensions are made. The followings are the definitions of values used for this label:

- "RELEASE 2 ENHANCEMENT": Parameters and response codes which were defined originally in Release 1 [n.1] and which have the definitions extended or modified only for the purpose of Release 2 operation. Extended or modified definitions are captured in the Parameter Information column of the table.
- "RELEASE 2": Parameters and response codes which do not exist in Release 1 [n.1] and which are defined for the purpose of Release 2 operation.

The label "**FEATURE ID**" describes in which specific feature(s) this parameter is used. The values used for this label are WInnForum-defined FID(s) or "*Mandatory*", where "*Mandatory*" means that the parameter does not depend on any specific feature (i.e. feature-independent extension) and shall be supported for Release 2 operation.

These labels and their values are also used for the definitions of extension or modified response codes and response data in Table 49 and Table 50.

Any parameter and response code which does not have labels "FEATURE ID" and "EXTENSION TYPE" is specified in WINNF-TS-0016 [n.1] and its description is reproduced in sections starting from section 8.2 for information only.

8.1.2 JSON Data Type

A parameter value can be one of the primitive JSON data types, i.e., string, number, boolean, array, or object. If data type of a parameter is an object, a name for the object is given and a separate table describes parameters in the object. If an object is defined in another document, definition of the object is left to such document and the citation is put in the column of Parameter Information.

8.1.3 Parameter Inclusion Criteria

Each parameter is indicated as "Required", "Optional" or "Conditional". The definitions of these indicators are as follows:

"Required": The parameter shall always be included in the message.

"Optional": The parameter may be included in the message.





"Conditional": The parameter shall be included in the message if and only if the specified conditions are satisfied.

The tables of parameters in this section have a column marked R/O/C that indicates whether the particular parameter is required, optional, or conditional.

8.1.4 REG-Conditional Registration Parameters

REG-Conditional Registration Parameter is a parameter that is required by the SAS to complete the CBSD registration process but may be omitted in the *RegistrationRequest* object. If not included in the *RegistrationRequest* object, the parameter, to the extent that it is needed by the SAS to satisfy the 47 CFR Part 96 [n.7], shall be provided to the SAS by other means outside the protocol specified in this document, e.g., it may be provided by a CPI as required by 47 CFR Part 96 [n.7] for Category B CBSDs or CBSDs without automatic location determination, or for operational reasons. Other means based on CBSD device characteristics that are beyond the scope of this specification, are not precluded from use.

Such parameters are labeled as "REG-Conditional" in a column marked R/O/C.

8.2 Registration Request Message

Table 5: Registration Request Message

| Parameter | R/O/C | Parameter Information |
|--|----------|--|
| NAME: registrationRequest DATA TYPE: array of object: RegistrationRequest | Required | Array of <i>RegistrationRequest</i> data objects. Each <i>RegistrationRequest</i> data object represents a registration request of a CBSD. |

8.2.1 RegistrationRequest object

Table 6: RegistrationRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|--------------------------------|----------|--|
| NAME: userId DATA TYPE: string | Required | The User Registration Identifier (URID) per [n.2] R2-SRR-02 conformant per section 2.2 of [n.8]. |
| NAME: fccId DATA TYPE: string | Required | The FCC certification identifier of the CBSD. The value is a string of up to 19 characters as described in [n.9]. |





| Parameter | R/O/C | Parameter Information |
|--|---------------------|--|
| NAME: cbsdSerialNumber DATA TYPE: string | Required | A serial number assigned to the CBSD by the CBSD device manufacturer having a maximum length of 64 octets. This serial number shall be unique for every CBSD instance sharing the same value of the <i>fccId</i> . Each CBSD has a single CBSD Antenna and has a single <i>cbsdSerialNumber</i> . NOTE: See definition of CBSD Antenna in [n.5]. |
| NAME: callSign DATA TYPE: string | Optional | A device identifier provided by the FCC per [n.10]. NOTE: This parameter is FFS. |
| NAME: cbsdCategory DATA TYPE: string | REG- Conditional | Device Category of the CBSD. Allowed values are "A" or "B" as defined in Part 96 [n.7]. |
| NAME: cbsdInfo DATA TYPE: object: CbsdInfo | Optional | Information about this CBSD model. |
| NAME: airInterface DATA TYPE: object: AirInterface | REG- Conditional | A data object that includes information on the air interface technology of the CBSD. |
| NAME: installationParam DATA TYPE: object: InstallationParam | REG- Conditional | A data object that includes information on CBSD installation. |
| NAME: measCapability DATA TYPE: array of string | REG- Conditional | The array of string lists measurement reporting capabilities of the CBSD. The permitted enumerations are specified in [n.11]. |
| NAME: groupingParam DATA TYPE: array of object: GroupParam EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | An array of data objects that includes information about all of the Group(s) being declared by the CBSD (see section 6.3). |
| NAME: cpiSignatureData DATA TYPE: object: CpiSignatureData | Optional | The CPI is vouching for the parameters included in this object. In addition, the digital signature for these parameters is included. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: cbsdFeatureCapabilityList DATA TYPE: array of string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter represents CBSD's Feature Capability List containing zero or more FIDs. CBSD shall include all its Operationally Supported FIDs. WInnForum-defined FIDs shall be conformant with those described in the headings of sections specifying features in section 6 of this document. Proprietary FIDs shall be prefixed with CBRS Vendor/Admin IDs registered in WINNF-SSC-0013 [n.6]. This parameter shall be included if the CBSD supports any Release 2 functionality. If it is not included, the CBSD is treated as a Release 1 CBSD according to section 6.2.2 of this document. |
| NAME: cpeCbsdIndication DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_CPE_CBSD_INDICATOR | Conditional | This parameter shall be set by the CBSD if and only if the CBSD indicates operational support of the CPE-CBSD Indicator feature in the <i>cbsdFeatureCapabilityList</i> parameter. This parameter, when set to TRUE, indicates the CBSD is a CPE-CBSD. The value FALSE indicates the CBSD is not a CPE-CBSD. |

NOTE: JSON format of *cbsdFeatureCapabilityList* parameter is according to the following:

"cbsdFeatureCapabilityList": [] (for zero FID) OR

"cbsdFeatureCapabilityList": ["FID 1"] OR

"cbsdFeatureCapabilityList": ["FID 1", "FID 2", "FID 3"]

8.2.2 AirInterface object

Table 7: AirInterface Object Definition

| Parameter | R/O/C | Parameter Information |
|---|---------------------|--|
| NAME: radioTechnology DATA TYPE: string | REG- Conditional | This parameter specifies the radio access technology that the CBSD uses for operation in the CBRS band. The permitted values are specified in [n.11]. |





8.2.3 InstallationParam object

Table 8: InstallationParam Object Definition

| Parameter | R/O/C | Parameter Information |
|-----------------------------------|---------------------|---|
| NAME: latitude DATA TYPE: number | REG- Conditional | Latitude of the CBSD Antenna location in degrees relative to the WGS 84 datum [n.13]. The allowed range is from -90.000000 to +90.000000 and the values are specified using 6 digits to the right of the decimal point. Positive values represent latitudes north of the equator; negative values south of the equator. NOTE: Use of WGS84 will also satisfy the NAD83 positioning requirements for CBSDs with the accuracy specified by 47 CFR Part 96 [n.7]. For reporting the CBSD location to the FCC, the SAS is responsible for converting coordinates from the WGS84 datum to the NAD83 datum. |
| NAME: longitude DATA TYPE: number | REG- Conditional | Longitude of the CBSD Antenna location in degrees relative to the WGS84 datum [n.13]. The allowed range is from -180.000000 to +180.000000 and the values are specified using 6 digits to the right of the decimal point. Positive values represent longitudes east of the prime meridian; negative values west of the prime meridian. NOTE: Use of WGS84 will also satisfy the NAD83 positioning requirements for CBSDs with the accuracy specified by 47 CFR Part 96 [n.7]. For reporting the CBSD location to the FCC, the SAS is responsible for converting coordinates from the WGS84 datum to the NAD83 datum. |





| Parameter | R/O/C | Parameter Information |
|---|---------------------|--|
| NAME: height DATA TYPE: number | REG- Conditional | The CBSD Antenna height in meters. When the value of <i>heightType</i> parameter value is "AGL", the antenna height should be given relative to ground level. When the <i>heightType</i> parameter value is "AMSL", it is given with respect to WGS84 datum. For reporting the CBSD location to the FCC, the SAS is responsible for converting coordinates from the WGS84 datum to the NAD83 datum. |
| NAME: heightType DATA TYPE: string | REG- Conditional | The value should be "AGL" or "AMSL". AGL height is measured relative to the ground level. AMSL height is measured relative to the mean sea level. |
| NAME: horizontalAccuracy DATA TYPE: number | Optional | A positive number in meters to indicate accuracy of the CBSD antenna horizontal location. This optional parameter should only be present if its value is less than the FCC requirement of 50 meters. |
| NAME: verticalAccuracy DATA TYPE: number | Optional | A positive number in meters to indicate accuracy of the CBSD antenna vertical location. This optional parameter should only be present if its value is less than the FCC requirement of 3 meters. |
| NAME: indoorDeployment DATA TYPE: boolean | REG- Conditional | Whether the CBSD antenna is deployed indoor or not. true: indoor. false: outdoor. |
| NAME: antennaAzimuth DATA TYPE: number EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_ANTENNA_PATTERN | REG-Conditional | Boresight direction of the horizontal plane of the antenna in degrees with respect to true north. The value of this parameter is an integer with a value between 0 and 359 inclusive. A value of 0 degrees means true north; a value of 90 degrees means east. This parameter is Optional for Category A CBSDs and REG-Conditional for Category B CBSDs. In Release 2, this parameter shall be REG-Conditional for Category A CBSDs supporting Enhanced Antenna Pattern feature. |





| Parameter | R/O/C | Parameter Information |
|---|---------------------|---|
| NAME: antennaDowntilt DATA TYPE: number EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_ANTENNA_PATTERN | REG- Conditional | Antenna down tilt in degrees and is an integer with a value between -90 and +90 inclusive; a negative value means the antenna is tilted up (above horizontal). This parameter is Optional for Category A CBSDs and REG-Conditional for Category B CBSDs. In Release 2, this parameter shall be REG-Conditional for Category A CBSDs supporting Enhanced Antenna Pattern feature. |
| NAME: antennaGain DATA TYPE: number EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: Mandatory | REG- Conditional | Peak antenna gain in dBi. This parameter is an integer with a value between -127 and +128 (dBi) inclusive. In Release 2, this parameter may also be a floating-point value. |
| NAME: eirpCapability DATA TYPE: number EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: Mandatory | Optional | This parameter is the maximum EIRP in units of dBm/10MHz to be used by the CBSD and shall be no more than the rounded-up FCC certified maximum EIRP. The value of this parameter is an integer with a value between -127 and +47 (dBm/10MHz) inclusive. If not included, SAS shall set eirpCapability as the rounded-up FCC certified maximum EIRP of the CBSD. In Release 2, this parameter may also be a floating-point value. |
| NAME: antennaBeamwidth DATA TYPE: number EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_ANTENNA_PATTERN | REG- Conditional | 3-dB antenna beamwidth of the antenna in the horizontal-plane in degrees. This parameter is an unsigned integer between 0 and 360 (degrees) inclusive. It is Optional for Category A CBSDs and REG-Conditional for Category B CBSDs. In Release 2, this parameter shall be REG-Conditional for Category A CBSDs supporting Enhanced Antenna Pattern feature. NOTE: A value of 360 (degrees) means the antenna has an omnidirectional radiation pattern in the horizontal plane. |





| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: antennaVerticalBeamwidth DATA TYPE: number EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_ANTENNA_PATTERN | Optional | 3-dB antenna beamwidth of the antenna in the vertical-plane in degrees. This parameter is an unsigned integer having a value between 0 and 360 (degrees) inclusive. |
| NAME: antennaModel DATA TYPE: string EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_ANTENNA_PATTERN | Optional | This parameter contains the antenna model. If an external antenna is used, the antenna model is optionally provided. The string has a maximum length of 128 octets. For Release 2 operation of Enhanced Antenna Pattern feature, this parameter represents, if included, an Antenna Pattern ID. Format of the value shall be "\$RECORD_CREATOR_ID" + ":" + "\$ANTENNA_PATTERN_ID", where: • \$RECORD_CREATOR_ID is the value of recordCreatorId field in the Record Creator File [n.24], and • \$ANTENNA_PATTERN_ID is the value of antennaPatternId field in CBSD Antenna Pattern Records file [n.24]. |

8.2.4 CbsdInfo object

Table 9: CbsdInfo Object Definition

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: vendor DATA TYPE: string | Optional | The name of the CBSD vendor. The maximum length of this string is 64 octets. |
| NAME: model DATA TYPE: string | Optional | The name of the CBSD model. The maximum length of this string is 64 octets. |
| NAME: softwareVersion DATA TYPE: string | Optional | Software version of this CBSD. The maximum length of this string is 64 octets. |
| NAME: hardwareVersion DATA TYPE: string | Optional | Hardware version of this CBSD. The maximum length of this string is 64 octets. |





| Parameter | R/O/C | Parameter Information |
|--------------------------|----------|---|
| NAME: firmwareVersion | Optional | Firmware version of this CBSD. |
| DATA TYPE: string | | The maximum length of this string is 64 |
| | | octets. |

NOTE: The *CbsdInfo* object can be extended with other vendor information in additional key-value pairs.

8.2.5 CpiSignatureData object

Table 10: CpiSignatureData Object Definition

| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: protectedHeader DATA TYPE: string | Required | The value of this parameter is the BASE64-encoded JOSE protected header. This is a JSON object equivalent to the JWT RS256 method or the ES256 method described in RFC 7515 [n.14]. BASE64 encoding is per RFC 4648 [n.15]. Valid values are equivalent to the JSON: {"typ": "JWT", "alg": "RS256"} or {"typ": "JWT", "alg": "ES256"} |
| NAME: encodedCpiSignedData DATA TYPE: string | Required | The value of this parameter is the encoded JOSE payload data to be signed by the CPI's private key. This parameter is calculated by taking the BASE64 encoding of a <i>CpiSignedData</i> object according to the procedures as specified in RFC 7515 [n.14]. |
| NAME: digitalSignature DATA TYPE: string | Required | The value of this parameter is the CPI digital signature applied to the <i>encodedCpiSignedData</i> field. This signature is calculated by taking the BASE64URL encoding of the digital signature, prepared according to the procedures in Section 3 of RFC 7515 [n.14], using the algorithm as declared in the <i>protectedHeader</i> field. |

NOTE 1: The JOSE JSON Web Signature per RFC 7515 [n.14] is used to ensure data integrity and CPI non-repudiation of the signed parameters.

NOTE 2: The JOSE compact serialization is formed by concatenating the *protectedHeader*, *encodedCpiSignedData* and *digitalSignature* parameters with dot "." characters as described in section 3 of RFC 7515 [n.14].





8.2.6 CpiSignedData object

Table 11: CpiSignedData Object Definition

| Parameter Name | R/O/C | Parameter Information |
|---|----------|---|
| NAME: fccId DATA TYPE: string | Required | The value of this parameter is the FCC ID of the CBSD. |
| <u> </u> | | Shall be equal to the <i>fccId</i> parameter value in the enclosing registration request. |
| NAME: cbsdSerialNumber DATA TYPE: string | Required | The value of this parameter is the CBSD serial number. Shall be equal to the <i>cbsdSerialNumber</i> of the enclosing registration request. |
| NAME: installationParam DATA TYPE: object: InstallationParam | Required | The value of this parameter is the <i>InstallationParam</i> object containing the parameters being certified by the CPI, and only those. |
| NAME: professionalInstallerData DATA TYPE: object: ProfessionalInstallerData | Required | The value of this parameter is the data identifying the CPI vouching for the installation parameters included in the <i>installationParam</i> value contained in this object. |

8.2.7 ProfessionalInstallerData object

Table 12: ProfessionalInstallerData Object Definition

| Parameter | R/O/C | Parameter Information |
|--|----------|--|
| NAME: cpild DATA TYPE: string | Required | The value of this parameter is the ID of the CPI providing information to the SAS. This string has a maximum length of 256 octets. |
| NAME: cpiName DATA TYPE: string | Required | This parameter contains the human-readable name of the CPI providing information to the SAS. This string has a maximum length of 256 octets. |
| NAME: installCertificationTime DATA TYPE: string | Required | The value of this parameter is the UTC date and time at which the CPI identified in this object certified the CBSD's installed parameters. It is expressed using the format, YYYY-MM-DDThh:mm:ssZ, as defined by [n.16]. |



8.2.8 GroupParam object

Table 13: GroupParam Object Definition

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: groupType DATA TYPE: string | Required | Identifies the type of Group that the CBSD belongs to. |
| EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_GROUP_HANDLING | | For Release 2 operation of Enhanced CBSD Group Handling feature, acceptable values of this parameter are defined in WINNF-SSC-0010 [n.12]. |
| | | Otherwise, see permitted enumerations in WINNF-TS-0016 [n.1]. |
| NAME: groupId DATA TYPE: string EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: WF_ENH_GROUP_HANDLING | Required | Identifies a particular Group of the specified type of Group (<i>groupType</i>). For Release 2 operation of Enhanced CBSD Group Handling feature, acceptable values of this parameter are defined in WINNF-SSC-0010 [n.12]. Otherwise, see permitted enumerations in WINNF-TS-0016 [n.1]. |
| NAME: groupInfo DATA TYPE: object: GroupInfo EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | This JSON object is specified by the organization, entity or individual that specifies the <i>groupId</i> . See details of <i>GroupInfo</i> object definition in WINNF-SSC-0010 [n.12]. |

8.3 Registration Response Message

Table 14: Registration Response Message

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: registrationResponse DATA TYPE: array of object: RegistrationResponse | Required | This parameter is an array of RegistrationResponse data objects. Each RegistrationResponse data object represents a registration response to a registration request from a CBSD. |





8.3.1 RegistrationResponse object

Table 15: RegistrationResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|---|-------------|--|
| NAME: cbsdId DATA TYPE: string | Conditional | This is a CBRS-wide unique identifier for this CBSD. |
| | | This parameter shall be included if and only if the <i>responseCode</i> indicates SUCCESS. |
| | | The CBSD shall set its CBSD identity to the value received in this parameter. |
| | | The string has a maximum length of 256 octets. |
| NAME: measReportConfig DATA TYPE: array of string | Optional | The SAS uses this parameter to configure CBSD measurement reporting. |
| , , | | The measurement report requested by SAS shall be consistent with the CBSD measurement capabilities reported during the registration request. |
| | | The CBSD shall report the measurement listed in this array. |
| | | The permitted enumerations are specified in [n.11]. |
| NAME: groupingConfig DATA TYPE: array of object: GroupConfig EXTENSION TYPE: RELEASE 2 FEATURE ID: | Conditional | An array of data objects that includes information concerning group configuration being declared by the CBSD in the associated request message of the procedure. |
| WF_ENH_GROUP_HANDLING | | The SAS shall include this parameter if the <i>groupingParam</i> parameter was included in the corresponding <i>RegistrationRequest</i> object. |
| | | GroupConfig objects for all the Groups declared by the CBSD shall be included in this parameter. |





| Parameter | R/O/C | Parameter Information |
|---|----------------------|--|
| Parameter NAME: sasFeatureCapabilityList DATA TYPE: array of string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | R/O/C Conditional | Parameter Information This parameter represents SAS's Feature Capability List containing zero or more FIDs. SAS shall include all its WInnForum-defined FIDs operationally supported by the SAS. WInnForum-defined FIDs shall be conformant with those described in the headings of sections specifying features in section 6 of this document. SAS may include its Proprietary FIDs operationally supported by the SAS. Proprietary FIDs shall be prefixed with CBRS Vendor/Admin IDs registered in |
| | | WINNF-SSC-0013 [n.6]. This parameter shall be included if: Response Code is SUCCESS; and the corresponding RegistrationRequest object included the cbsdFeatureCapabilityList parameter; and the SAS supports any Release 2 functionality. |
| | | If this parameter is not included when the response code indicates SUCCESS, the SAS is treated as a Release 1 SAS according to section 6.2.2 of this document. If response code is not SUCCESS, then SAS may optionally include this |
| | | parameter. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

NOTE: JSON format of *sasFeatureCapabilityList* is similar to the above example JSON format of *cbsdFeatureCapabilityList*.

8.3.2 Response Object

Table 16: Response Object Definition

| Parameter | R/O/C | Parameter Information |
|--------------------------------------|----------|---|
| NAME: responseCode DATA TYPE: number | Required | An integer to indicate the type of result. The value 0 means the corresponding CBSD request is successful. This shall be one of the values defined in Table 49. |





| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: responseMessage DATA TYPE: string | Optional | A short description of the result. |
| NAME: responseData DATA TYPE: Dependent on responseCode EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: Mandatory | Optional | Additional data can be included to help the CBSD resolve failures. For Release 2 operation, this parameter may contain supplemental information even in case of response code 0 (SUCCESS). See Table 50 for more details. |

8.3.3 GroupConfig object

Table 17: GroupConfig Object Definition

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: groupType DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Required | Identifies the type of Group that the CBSD belongs to. If the <i>GroupConfig</i> object is provided in response to a request message which includes a <i>GroupParam</i> object, the value of this parameter shall be identical to that was provided by the CBSD in the corresponding <i>GroupParam</i> object in the request message. If the <i>GroupConfig</i> object is provided in response to a request message which did not include a <i>GroupParam</i> object, the value of this parameter shall be conformant with WINNF-SSC-0010 [n.12]. |
| NAME: groupId DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Required | Identifies a particular Group of the specified type of Group (groupType). If the GroupConfig object is provided in response to a request message which includes a GroupParam object, the value of this parameter shall be identical to that was provided by the CBSD in the corresponding GroupParam object in the request message. If the GroupConfig object is provided in response to a request message which did not include a GroupParam object, the value of this parameter shall be conformant with WINNF-SSC-0010 [n.12]. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: supportedBySas DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Conditional | This parameter indicates whether groupType and/or groupId is operationally supported by the SAS. true: supported, false: not supported This parameter shall be included if the GroupConfig object is provided in a response message in response to a request which includes the groupingParam parameter. |
| NAME: groupConfigInfo DATA TYPE: object: GroupConfigInfo EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | This JSON object is specified by the organization, entity or individual that specifies the <i>groupId</i> . See details of <i>GroupConfigInfo</i> object definition in WINNF-SSC-0010 [n.12]. |
| NAME: groupInfoResponse DATA TYPE: object: GroupInfoResponse EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | This parameter is a JSON object that includes information about errors in the <i>groupInfo</i> parameter associated with the <i>groupType</i> and/or <i>groupId</i> parameters. This parameter may be included only if the value of <i>supportedBySas</i> parameter is true or <i>supportedBySas</i> parameter is not included in the <i>GroupConfig</i> object. |



8.3.4 GroupInfoResponse Object

Table 18: GroupInfoResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: groupInfoResponseCode DATA TYPE: number EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Required | This is an integer value indicating errors in the <i>groupInfo</i> parameter for the Group type and/or Group ID that the CBSD belongs to. Basic common values for any Groups are defined in Table 2 of section 6.3.3.1.3 in this document. The organization, entity or individual that specifies the <i>groupId</i> and/or <i>groupType</i> may additionally define the acceptable values of this parameter. See details in WINNF-SSC-0010 [n.12]. |
| NAME: groupInfoResponseData DATA TYPE: array of string EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | Additional data can be included to help the CBSD take an action associated with the value of the <i>groupInfoResponseCode</i> parameter. |
| NAME: groupInfoResponseMessage DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | Human readable string describing errors identified by the <i>groupInfoResponseCode</i> parameter. |

8.4 Feature Capability Exchange Request Message

Table 19: Feature Capability Exchange Request Message

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: featureCapabilityExchangeRequest DATA TYPE: array of object: FeatureCapabilityExchangeRequest EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter is an array of FeatureCapabilityExchangeRequest objects. Each FeatureCapabilityExchangeRequest object represents a feature capability exchange request from a CBSD. |





8.4.1 FeatureCapabilityExchangeRequest object

Table 20: FeatureCapabilityExchangeRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|---|-------------|---|
| NAME: cbsdId DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | The CBSD shall set this parameter to the value of its CBSD identity. |
| NAME: cbsdFeatureCapabilityList DATA TYPE: array of string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter represents CBSD's Feature Capability List containing zero or more FIDs. CBSD shall include all its Operationally Supported FIDs. WInnForum-defined FIDs shall be conformant with those described in the headings of sections specifying features in section 6 of this document. Proprietary FIDs shall be prefixed with CBRS Vendor/Admin IDs registered in WINNF-SSC-0013 [n.6]. |
| NAME: cbsdFeatureInfo DATA TYPE: array of object: FeatureInfo EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter contains one or more datasets containing feature-specific data associated with the FIDs listed in the <i>cbsdFeatureCapabilityList</i> parameter of this object. This parameter shall be included only if <i>cbsdFeatureCapabilityList</i> contains one or more FIDs with which associated feature-specific data are defined. Each <i>FeatureInfo</i> object in this array contains the data related to an FID listed in the <i>cbsdFeatureCapabilityList</i> parameter of this message. |





8.4.2 FeatureInfo object

Table 21: FeatureInfo Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: featureId DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter contains the Feature ID in the Feature Capability List of a SAS or a CBSD. For WInnForum-defined FID, the value shall be conformant with those described in the headings of sections specifying features in section 6 of this document. For Proprietary FID, the value shall be prefixed with CBRS Vendor/Admin IDs registered in WINNF-SSC-0013 [n.6]. |
| NAME: sasFeatureData DATA TYPE: object: SasFeatureData EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter contains the data/parameters associated with the feature identified by the Feature ID included in this <i>FeatureInfo</i> object. This parameter shall be included if this <i>FeatureInfo</i> object is used in the <i>FeatureCapabilityExchangeResponse</i> object and shall not be included if this <i>FeatureInfo</i> object is used in the <i>FeatureInfo</i> object is used in the <i>FeatureCapabilityExchangeRequest</i> object. |
| NAME: cbsdFeatureData DATA TYPE: object: CbsdFeatureData EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter contains the data/parameters associated with the feature identified by the Feature ID included in this <i>FeatureInfo</i> object. This parameter shall be included if this <i>FeatureInfo</i> object is used in the <i>FeatureCapabilityExchangeRequest</i> object and shall not be included if this <i>FeatureInfo</i> object is used in the <i>FeatureInfo</i> object is used in the <i>FeatureCapabilityExchangeResponse</i> object. |

8.4.3 SasFeatureData object

Table 22: SasFeatureData Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------|-----------------------|
| This feature specific object is to be defined in | | |
| the future versions of this document. | | |



8.4.4 CbsdFeatureData object

Table 23: CbsdFeatureData Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|--|
| NAME: cpeCbsdIndication DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_CPE_CBSD_INDICATOR | Conditional | This parameter shall be set by the CBSD if and only if this <i>CbsdFeatureData</i> object is associated with the CPE-CBSD Indicator feature. This parameter, when set to TRUE, indicates the CBSD is a CPE-CBSD. The value FALSE indicates the CBSD is not a CPE-CBSD. |

8.5 Feature Capability Exchange Response Message

Table 24: Feature Capability Exchange Response Message

| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: featureCapabilityExchangeResponse DATA TYPE: array of object: FeatureCapabilityExchangeResponse EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter is an array of FeatureCapabilityExchangeResponse data objects. Each FeatureCapabilityExchangeResponse data object represents a response to the corresponding feature capability exchange request from a CBSD. |

8.5.1 FeatureCapabilityExchangeResponse object

Table 25: FeatureCapabilityExchangeResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|--|
| NAME: cbsdld DATA TYPE: string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter represents the CBSD identity of the requesting CBSD. This parameter shall be included if and only if the <i>cbsdId</i> parameter in the <i>FeatureCapabilityExchangeRequest</i> object is valid. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding |
| | | FeatureCapabilityExchangeRequest object. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|--|
| NAME: sasFeatureCapabilityList DATA TYPE: array of string EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter represents SAS's Feature Capability List containing zero or more FIDs. SAS shall include all its WInnForum-defined FIDs operationally supported by the SAS. WInnForum-defined FIDs shall be conformant with those described in the headings of sections specifying features in section 6 of this document. SAS may include its Proprietary FIDs operationally supported by the SAS. Proprietary FIDs shall be prefixed with CBRS Vendor/Admin IDs registered in WINNF-SSC-0013 [n.6]. This parameter shall be included if response code is SUCCESS. If response code is not SUCCESS, then SAS may optionally include this parameter. |
| NAME: sasFeatureInfo DATA TYPE: array of object: FeatureInfo EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Conditional | This parameter contains one or more datasets containing feature-specific data associated with the FID listed in the sasFeatureCapabilityList parameter of this object. This parameter shall be included if and only if the responseCode parameter indicates SUCCESS and sasFeatureCapabilityList contains one or more FIDs which associated feature-specific data is defined. SAS may include FeatureInfo objects corresponding to Proprietary FIDs listed in sasFeatureCapabilityList parameter of this message. |
| NAME: response DATA TYPE: object: Response EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Required | This parameter includes information on the outcome of the Feature Capability Exchange Procedure. |



8.6 Spectrum Inquiry Request Message

Table 26: Spectrum Inquiry Request Message

| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: spectrumInquiryRequest DATA TYPE: array of object: SpectrumInquiryRequest | Required | Array of SpectrumInquiryRequest objects. Each SpectrumInquiryRequest object represents a spectrum inquiry request of a CBSD. |

8.6.1 SpectrumInquiryRequest object

Table 27: SpectrumInquiryRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: cbsdId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of the <i>cbsdId</i> parameter obtained in the <i>RegistrationResponse</i> object. |
| NAME: inquiredSpectrum DATA TYPE: array of object: FrequencyRange | Required | This field describes the spectrum for which the CBSD seeks information on spectrum availability. |
| NAME: measReport DATA TYPE: object: MeasReport | Conditional | The CBSD uses this parameter to report measurements to the SAS. The format of the <i>MeasReport</i> object is provided in WINNF-SSC-0002 [n.11]. Refer to section 8 of WINNF-TS-0016 [n.1] and WINNF-SSC-0002 [n.11] for inclusion rules. |
| NAME: groupingParam DATA TYPE: array of object: GroupParam EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | An array of data objects that includes information about all of the Group(s) being declared by the CBSD in this request message. This parameter shall contain the most recent information about the Groups and supersedes any previously declared Group information. |

8.6.2 FrequencyRange object

Table 28: FrequencyRange Object Definition

| Parameter | R/O/C | Parameter Information |
|---------------------------------------|----------|---|
| NAME: lowFrequency DATA TYPE: number | Required | The lowest frequency of the frequency range in Hz. |
| NAME: highFrequency DATA TYPE: number | Required | The highest frequency of the frequency range in Hz. |



8.7 Spectrum Inquiry Response Message

Table 29: Spectrum Inquiry Response Message

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: spectrumInquiryResponse DATA TYPE: array of object: SpectrumInquiryResponse | Required | Array of SpectrumInquiryResponse objects. Each SpectrumInquiryResponse object represents a spectrum inquiry response to a spectrum inquiry request of a CBSD. |

8.7.1 SpectrumInquiryResponse object

Table 30: SpectrumInquiryResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: cbsdld DATA TYPE: string | Conditional | This parameter is included if and only if the <i>cbsdId</i> parameter in the <i>SpectrumInquiryRequest</i> object contains a valid CBSD identity. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding <i>SpectrumInquiryRequest</i> object. |
| NAME: availableChannel DATA TYPE: array of object: AvailableChannel | Conditional | This parameter is an array of zero or more <i>AvailableChannel</i> objects, which describes a channel that is available for the CBSD. Included: If and only if the Spectrum Inquiry is successful. |
| NAME: groupingConfig DATA TYPE: array of object: GroupConfig EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Conditional | An array of data objects that includes information concerning group configuration being declared by the CBSD in the associated request message of the procedure. The SAS shall include this parameter if the groupingParam parameter was included in the corresponding SpectrumInquiryRequest object. GroupConfig objects for all the Groups declared by the CBSD in the SpectrumInquiryRequest object shall be included in this parameter. The SAS may optionally include this parameter even if the corresponding SpectrumInquiryRequest object did not include the groupingParam parameter. |





| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: featureCapabilityExchangeTrigger DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Optional | If set to true, the SAS notifies CBSD to initiate Feature Capability Exchange Procedure. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

8.7.2 AvailableChannel object

Table 31: Available Channel Object Definition

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: frequencyRange DATA TYPE: object: FrequencyRange | Required | This parameter is the frequency range of the available channel. |
| NAME: channelType DATA TYPE: string | Required | "PAL": the frequency range is a PAL channel. "GAA": the frequency range is for GAA use. |
| NAME: ruleApplied DATA TYPE: string | Required | The regulatory rule used to generate this response, e.g., "FCC_PART_96". |
| NAME: maxEirp DATA TYPE: number | Optional | Maximum EIRP likely to be permitted for a Grant on this <i>frequencyRange</i> , given the CBSD registration parameters, including location, antenna orientation and antenna pattern. The maximum EIRP is in the units of dBm/MHz and is an integer or a floating-point value between -137 and +37 (dBm/MHz) inclusive. |

8.8 Grant Request Message

A *GrantRequest* object contains operating parameters that the CBSD plans to operate with. Operation parameters include a continuous segment of spectrum and the maximum EIRP.

Table 32: Grant Request Message

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: grantRequest DATA TYPE: array of object: GrantRequest | Required | Array of <i>GrantRequest</i> objects. Each <i>GrantRequest</i> object represents a Grant request of a CBSD. |





8.8.1 GrantRequest object

Table 33: GrantRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: cbsdId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of the <i>cbsdId</i> parameter obtained in the <i>RegistrationResponse</i> object. |
| NAME: operationParam DATA TYPE: object: OperationParam | Required | This data object includes operation parameters of the requested Grant. |
| NAME: measReport DATA TYPE: object: MeasReport | Conditional | The CBSD uses this parameter to report measurements to the SAS. The format of the <i>MeasReport</i> object is provided in WINNF-SSC-0002 [n.11]. Refer to section 8 of WINNF-TS-0016 [n.1] and WINNF-SSC-0002 [n.11] for inclusion rules. |
| NAME: groupingParam DATA TYPE: array of object: GroupParam EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | An array of data objects that includes information about all of the Group(s) being declared by the CBSD in this request message. This parameter shall contain the most recent information about the Groups and supersedes any previously declared Group information. |

8.8.2 OperationParam object

Table 34: OperationParam Object Definition

| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: maxEirp DATA TYPE: number | Required | Maximum EIRP permitted by the Grant. The maximum EIRP is in the units of dBm/MHz and is an integer or a floating-point value between -137 and +37 (dBm/MHz) inclusive. The value of <i>maxEirp</i> represents the average (RMS) EIRP that would be measured per the procedure defined in \$96.41(e)(3) [n.7] and shall not exceed <i>eirpCapability</i> - 10. |
| NAME: operationFrequencyRange DATA TYPE: object: FrequencyRange | Required | This parameter is frequency range of a contiguous segment. |



8.9 Grant Response Message

Table 35: Grant Response Message

| Parameter | R/O/C | Parameter Information |
|--|----------|--|
| NAME: grantResponse DATA TYPE: array of object: GrantResponse | Required | Array of <i>GrantResponse</i> objects. Each <i>GrantResponse</i> object represents a Grant response to a Grant request of a CBSD. |

8.9.1 GrantResponse object

Table 36: GrantResponse Object Definition

| Table 30: Grantkesponse Object Definition | | | |
|---|-------------|---|--|
| Parameter | R/O/C | Parameter Information | |
| NAME: cbsdId DATA TYPE: string | Conditional | This parameter is included if and only if the <i>cbsdId</i> parameter in the <i>GrantRequest</i> object contains a valid CBSD identity. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding <i>GrantRequest</i> object. | |
| NAME: grantld DATA TYPE: string | Conditional | An ID provided by the SAS for this Grant. Included: If and only if the Grant request is approved by the SAS. The CBSD shall set the Grant identity for this Grant to the value received in this parameter. | |
| NAME: grantExpireTime DATA TYPE: string | Conditional | The grantExpireTime indicates the time when the Grant associated with the grantId expires. This parameter is UTC time expressed in the format, YYYY-MM-DDThh:mm:ssZ as defined by [n.16]. This parameter shall be included if and only if the responseCode parameter indicates SUCCESS. If the channelType parameter is included in this object and the value is set to "PAL", the grantExpireTime parameter shall be set to the value that does not extend beyond the licenseExpiration of the corresponding PAL recorded in the PAL Database [n.23]. | |





| Parameter | R/O/C | Parameter Information |
|---|-------------|--|
| NAME: heartbeatInterval DATA TYPE: number | Conditional | This parameter is a positive integer and indicates the maximum time interval in units of seconds between two consecutive heartbeat requests that the CBSD should attempt to meet. This parameter shall be included if the responseCode parameter indicates SUCCESS. |
| NAME: measReportConfig DATA TYPE: array of string | Optional | The SAS uses this parameter to configure CBSD measurement reporting. The measurement report requested by the SAS shall be consistent with the CBSD measurement capabilities reported during the registration request. The CBSD shall report the measurements listed in this array. The permitted enumerations are specified in [n.11]. |
| NAME: operationParam DATA TYPE: object: OperationParam | Optional | If the Grant request is disapproved, using this object the SAS can optionally provide a new set of operation parameters to the CBSD for use in a new Grant request. |
| NAME: channelType DATA TYPE: string | Conditional | This parameter is included if and only if the <i>responseCode</i> parameter indicates SUCCESS, i.e., the Grant request was successful. "PAL": the frequency range has been granted as a PAL channel. "GAA": the frequency range has been granted for GAA use. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: groupingConfig DATA TYPE: array of object: GroupConfig EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Conditional | An array of data objects that includes information concerning group configuration being declared by the CBSD in the associated request message of the procedure. The SAS shall include this parameter if the groupingParam parameter was included in the corresponding GrantRequest object. GroupConfig objects for all the Groups declared by the CBSD in the GrantRequest object shall be included in this parameter. The SAS may optionally include this parameter even if the corresponding GrantRequest object did not include the groupingParam parameter. |
| NAME: featureCapabilityExchangeTrigger DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Optional | If set to true, the SAS notifies CBSD to initiate Feature Capability Exchange Procedure. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

8.10 Heartbeat Request Message

Table 37: Heartbeat Request Message

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: heartbeatRequest | Required | Array of HeartbeatRequest objects. |
| DATA TYPE: array of object: HeartbeatRequest | | Each <i>HeartbeatRequest</i> object represents a heartbeat request of a CBSD. |

8.10.1 HeartbeatRequest object

Table 38: HeartbeatRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|--------------------------------|----------|---|
| NAME: cbsdId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of the <i>cbsdId</i> parameter obtained in the <i>RegistrationResponse</i> object. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|---|
| NAME: grantld DATA TYPE: string | Required | The CBSD shall set this parameter to the value of the Grant identity of this Grant. |
| NAME: grantRenew DATA TYPE: boolean | Optional | If set to True, the CBSD asks for renewal of the current Grant. SAS shall include a <i>grantExpireTime</i> parameter in the following <i>HeartbeatResponse</i> object. |
| NAME: operationState DATA TYPE: string | Required | This parameter contains the CBSD operation state ("AUTHORIZED" or "GRANTED"). |
| NAME: measReport DATA TYPE: object: MeasReport | Conditional | The CBSD uses this parameter to report measurements to the SAS. The format of the <i>MeasReport</i> object is provided in WINNF-SSC-0002 [n.11]. Refer to section 8 of WINNF-TS-0016 [n.1] and WINNF-SSC-0002 [n.11] for inclusion rules. |
| NAME: groupingParam DATA TYPE: array of object: GroupParam EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Optional | An array of data objects that includes information about all of the Group(s) being declared by the CBSD in this request message. This parameter shall contain the most recent information about the Groups and supersedes any previously declared Group information. |

8.11 Heartbeat Response Message

Table 39: Heartbeat Response Message

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: heartbeatResponse DATA TYPE: array of object: HeartbeatResponse | Required | Array of <i>HeartbeatResponse</i> objects. Each <i>HeartbeatResponse</i> object represents a heartbeat response of a CBSD. |





8.11.1 HeartbeatResponse object

Table 40: HeartbeatResponse Object Definition

| Parameter Parameter | R/O/C | Parameter Information |
|--|-------------|--|
| NAME: cbsdId DATA TYPE: string | Conditional | This parameter is included if and only if the <i>cbsdId</i> parameter in the <i>HeartbeatRequest</i> object contains a valid CBSD identity. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding <i>HeartbeatRequest</i> object. |
| NAME: grantld DATA TYPE: string | Conditional | This parameter is included if and only if the <i>grantId</i> parameter in the <i>HeartbeatRequest</i> object contains a valid Grant identity. If included, the SAS shall set this parameter to the value of the <i>grantId</i> parameter in the corresponding <i>HeartbeatRequest</i> object. |
| NAME: transmitExpireTime DATA TYPE: string | Required | The CBSD shall cease radio transmission using the SAS authorized radio resource within 60 seconds after the value of the <i>transmitExpireTime</i> parameter expires, in accordance with §96.39(c)(2) [n.7]. The <i>transmitExpireTime</i> is UTC time expressed in the format, YYYY-MM-DDThh:mm:ssZ as defined by [n.16]. The <i>transmitExpireTime</i> value shall be no later than the value of the <i>grantExpireTime</i> parameter. |
| NAME: grantExpireTime DATA TYPE: string | Conditional | Required if the responseCode parameter indicates SUCCESS or SUSPENDED_GRANT and the grantRenew parameter was included and set to True in the corresponding HeartbeatRequest object. This parameter may be included at other times by SAS choice. When included, if the channelType of this Grant is "PAL", this parameter shall be set to the value that does not extend beyond the licenseExpiration of the corresponding PAL recorded in the PAL Database [n.23]. |





| Parameter | R/O/C | Parameter Information |
|--|-------------|--|
| NAME: heartbeatInterval DATA TYPE: number | Optional | This parameter is a positive integer and indicates the maximum time interval in units of seconds between two consecutive heartbeat requests that the CBSD should attempt to meet. This parameter is included when the SAS wants to change the heartbeat interval. |
| NAME: operationParam DATA TYPE: object: OperationParam | Optional | If heartbeat request is disapproved or the SAS intends to change the CBSD operation parameters, the SAS can provide a new set of operation parameters to the CBSD using this object as a recommendation to request a new Grant. |
| NAME: measReportConfig DATA TYPE: array of string | Optional | The SAS uses this parameter to configure CBSD measurement reporting. The measurement report requested by the SAS shall be consistent with the CBSD measurement capabilities reported during the registration request. The CBSD shall report the measurement listed in this array. The permitted enumerations are specified in WINNF-SSC-0002 [n.11]. |
| NAME: groupingConfig DATA TYPE: array of object: GroupConfig EXTENSION TYPE: RELEASE 2 FEATURE ID: WF_ENH_GROUP_HANDLING | Conditional | An array of data objects that includes information concerning group configuration being declared by the CBSD. The SAS shall include this parameter if the groupingParam parameter was included in the corresponding HeartbeatRequest object. GroupConfig objects for all the Groups declared by the CBSD in the HeartbeatRequest object shall be included in this parameter. The SAS may optionally include this parameter even if the corresponding HeartbeatRequest object did not include the groupingParam parameter. |





| Parameter | R/O/C | Parameter Information |
|---|----------|--|
| NAME: featureCapabilityExchangeTrigger DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Optional | If set to true, the SAS notifies CBSD to initiate Feature Capability Exchange Procedure. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

8.12 Relinquishment Request Message

Table 41: Relinquishment Request Message

| Parameter | R/O/C | Parameter Information |
|-------------------------------------|----------|---|
| NAME: relinquishmentRequest | Required | Array of RelinquishmentRequest objects. |
| DATA TYPE : array of object: | | Each RelinquishmentRequest object |
| RelinquishmentRequest | | Represents a request of a CBSD. |

8.12.1 RelinquishmentRequest object

Table 42: RelinquishmentRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|---------------------------------|----------|--|
| NAME: cbsdId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of its CBSD identity. |
| NAME: grantId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of the Grant identity of the Grant. |

8.13 Relinquishment Response Message

Table 43: Relinquishment Response Message

| Parameter | R/O/C | Parameter Information |
|--|----------|---|
| NAME: relinquishmentResponse DATA TYPE: array of object: | Required | Array of RelinquishmentResponse objects. |
| RelinquishmentResponse | | Each <i>RelinquishmentResponse</i> object represents a response to a request of a |
| | | CBSD to relinquish a Grant. |



8.13.1 RelinquishmentResponse object

Table 44: RelinquishmentResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|---|-------------|--|
| NAME: cbsdId DATA TYPE: string | Conditional | This parameter is included if and only if the <i>cbsdId</i> parameter in the <i>RelinquishmentRequest</i> object contains a valid CBSD identity. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding <i>RelinquishmentRequest</i> object. |
| NAME: grantld DATA TYPE: string | Conditional | This parameter is included if and only if the <i>grantId</i> parameter in the <i>RelinquishmentRequest</i> object contains a valid Grant Identity. If included, the SAS shall set this parameter to the value of the <i>grantId</i> parameter in the corresponding <i>RelinquishmentRequest</i> object. |
| NAME: featureCapabilityExchangeTrigger DATA TYPE: boolean EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | Optional | If set to true, the SAS notifies CBSD to initiate Feature Capability Exchange Procedure. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

8.14 Deregistration Request Message

Table 45: Deregistration Request Message

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: deregistrationRequest DATA TYPE: array of object: DeregistrationRequest | Required | Array of <i>DeregistrationRequest</i> data objects. Each <i>DeregistrationRequest</i> data object represents a deregistration request of a CBSD. |



8.14.1 DeregistrationRequest object

Table 46: DeregistrationRequest Object Definition

| Parameter | R/O/C | Parameter Information |
|--------------------------------|----------|--|
| NAME: cbsdId DATA TYPE: string | Required | The CBSD shall set this parameter to the value of its CBSD identity. |
| DATA TIPE: string | | variae of its CDSD identity. |

8.15 Deregistration Response Message

Table 47: Deregistration Response Message

| Parameter | R/O/C | Parameter Information |
|---|----------|---|
| NAME: deregistrationResponse DATA TYPE: array of object: DeregistrationResponse | Required | Array of <i>DeregistrationResponse</i> data objects. Each <i>DeregistrationResponse</i> object represents a response to a deregistration request of a CBSD. |

8.15.1 DeregistrationResponse object

Table 48: DeregistrationResponse Object Definition

| Parameter | R/O/C | Parameter Information |
|---|-------------|---|
| NAME: cbsdld DATA TYPE: string | Conditional | This parameter is included if and only if the <i>cbsdId</i> parameter in the <i>DeregistrationRequest</i> object contains a valid CBSD identity. If included, the SAS shall set this parameter to the value of the <i>cbsdId</i> parameter in the corresponding <i>DeregistrationRequest</i> object. |
| NAME: response DATA TYPE: object: Response | Required | This parameter includes information on whether the corresponding CBSD request is approved or disapproved for a reason. |

8.16 Response Codes and Data

In the *Response* object of a SAS-CBSD response message, the SAS shall include a *responseCode* parameter to inform the CBSD of the status of the corresponding request. The response codes are grouped into the following categories and defined in the Table 49. The name associated with each *responseCode* parameter is not included in the *Response* object, but can be attached to a *responseCode* parameter by the CBSD or other network entity for logging or human-involved troubleshooting.

CBSD shall support any "RELEASE 2 ENHANCEMENT" and "RELEASE 2" response code whose value of **FEATURE ID** is "*Mandatory*". For "RELEASE 2 ENHANCEMENT" and "RELEASE 2" response





codes which are associated with a specific feature, CBSD shall support it if the CBSD Operationally-Supports that feature.

0: success

- 100 199: general errors related to the SAS-CBSD Protocol
- 200 299: error events related to the CBSD Registration Procedure
- 300 399: error events related to the CBSD Spectrum Inquiry Procedure
- 400 499: error events related to the CBSD Grant Procedure
- 500 599: error events related to the CBSD Heartbeat Procedure
- 600 699: error events related to the Feature Capability Exchange Procedure

NOTE: No response codes for the Feature Capability Exchange Procedure are defined in this version of the document.

Table 49: Response Code Definitions

| Response Codes | Description |
|--|--|
| VALUE: 0 NAME: SUCCESS EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: Mandatory | This response code was defined in WINNF-TS-0016 [n.1]. CBSD request is approved by SAS. In Release 2 operation, the <i>responseData</i> and/or <i>responseMessage</i> parameters may contain a string providing supplemental information. See more details in Table 50. |
| VALUE: 100 NAME: VERSION | This response code was defined in WINNF-TS-0016 [n.1]. SAS protocol version used by CBSD is not supported by SAS |
| VALUE: 101 NAME: BLACKLISTED | This response code was defined in WINNF-TS-0016 [n.1]. CBSD is blacklisted. This responseCode is returned if the CBSD is under a SAS or FCC enforcement action and is barred from CBRS operation. In general, the CBSD should not try to re-register until actions external to this specification are taken. NOTE: Blacklisting behavior by the SAS and CBSD is FFS. |





| Response Codes | Description |
|---|---|
| VALUE: 102 NAME: MISSING_PARAM | This response code was defined in WINNF-TS-0016 [n.1]. |
| EXTENSION TYPE : RELEASE 2 | Required parameters missing. |
| ENHANCEMENT FEATURE ID: Mandatory | In Release 2, this response code is not applicable if the <i>cbsdFeatureCapabilityList</i> parameter is missing in <i>RegistrationRequest</i> object. |
| VALUE: 103 NAME: INVALID_VALUE | This response code was defined in WINNF-TS-0016 [n.1]. |
| VALUE: 104 NAME: CERT_ERROR | One or more parameters have invalid value This response code was defined in WINNF-TS- 0016 [n.1]. |
| | There is an error in the certificate used to make the request (e.g. the credential is of the wrong role). |
| | NOTE: Most certificate errors, such as expired or syntactically invalid certificates, will cause errors at the TLS connection. |
| VALUE: 105 NAME: DEREGISTER | This response code was defined in WINNF-TS-0016 [n.1]. |
| | A CBSD receiving this <i>responseCode</i> is automatically deregistered by the SAS. |
| | The CBSD shall cease all transmissions, terminate all Grants, and consider itself <i>Unregistered</i> . |
| | The SAS may include this <i>responseCode</i> parameter in any message. |
| | The <i>responseMessage</i> parameter may contain a string describing the reason for deregistration. See NOTE 1 below. |
| VALUE: 106 NAME: NOT_PROCESSED | This response code is defined for Release 2 operation. |
| EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | The SAS cannot provide a proper response to the CBSD request temporarily. |
| | The CBSD can resend the same request message or send a different request message after receiving this <i>responseCode</i> . |
| | The <i>responseData</i> parameter may carry suggested wait time for the CBSD to resend the request message. |





| Response Codes | Description |
|--|--|
| VALUE: 200 NAME: REG_PENDING | This response code was defined in WINNF-TS-0016 [n.1]. Incomplete registration information. |
| | The registration process is pending. One or more REG-Conditional parameters have not yet been supplied to the SAS. The CBSD is likely to accomplish a successful registration when the missing registration information is made available to the SAS. |
| VALUE: 201 NAME: GROUP_ERROR | This response code was defined in WINNF-TS-0016 [n.1]. |
| EXTENSION TYPE : RELEASE 2 ENHANCEMENT | An error has been identified in the grouping parameters of the CBSD. |
| FEATURE ID: WF_ENH_GROUP_HANDLING | In Release 2, it is also used by feature "Enhanced CBSD Group Handling". This response code can be used for indicating errors in <i>GroupParam</i> object. |
| VALUE: 300 NAME: UNSUPPORTED_SPECTRUM | This response code was defined in WINNF-TS-0016 [n.1]. |
| | The frequency range indicated in the spectrum inquiry request or grant request is at least partially outside of the CBRS band. |
| VALUE: 400 NAME: INTERFERENCE | This response code was defined in WINNF-TS-0016 [n.1]. |
| | Requested operation parameters cause too much interference. |
| | This <i>responseCode</i> value indicates that the Grant request is unlikely to be successful if retried by the CBSD. |
| VALUE: 401 NAME: GRANT_CONFLICT | This response code was defined in WINNF-TS-0016 [n.1]. |
| | Conflict with an existing Grant of the same CBSD. The CBSD should be able to remediate this using the data returned in the <i>responseData</i> structure, by synchronizing its Grant state with the SAS and relinquishing any out-of-sync Grants. |





| Response Codes | Description |
|-----------------------------------|--|
| VALUE: 500 NAME: TERMINATED_GRANT | This response code was defined in WINNF-TS-0016 [n.1]. The Grant is terminated. This condition occurs if, for example, incumbent status has changed permanently causing the current Grant to terminate. The CBSD shall terminate radio operation by turning off its radio transmission associated with this Grant within 60 seconds after the value of the <i>transmitExpireTime</i> parameter expires, in accordance with §96.39(c)(2) [n.7]. The Grant is considered terminated by the SAS, but the CBSD may relinquish the Grant. If the <i>operationParam</i> parameter is included in the <i>HeartbeatResponse</i> object, the CBSD should consider it as a recommendation by the SAS to obtain a new Grant using the included operational parameter values, and may request a new Grant using those operational parameters. |
| VALUE: 501 NAME: SUSPENDED_GRANT | This response code was defined in WINNF-TS-0016 [n.1]. The Grant is suspended. This condition occurs if incumbent status has changed temporarily. The CBSD shall terminate radio operation by turning off its radio transmission associated with this Grant within 60 seconds after the value of the transmitExpireTime parameter expires, in accordance with §96.39(c)(2) [n.7]. In such a case the CBSD may continue to send HeartbeatRequest objects and waiting until the Grant is re-enabled, or may relinquish the Grant and request another. If the operationParam parameter is included in the HeartbeatResponse object, the CBSD should consider it as a recommendation by the SAS to obtain a new Grant using the included operational parameter values, and may request a new Grant using those parameters. |
| VALUE: 502 NAME: UNSYNC_OP_PARAM | This response code was defined in WINNF-TS-0016 [n.1]. The Grant state is out of sync between the CBSD and the SAS. The CBSD shall turn off the radio transmission associated with this Grant within 60 seconds from receiving this <i>responseCode</i> value, in accordance with §96.39(c)(2) [n.7], and shall relinquish this Grant. |





NOTE 1 (Informative): This is an assistive *responseCode* parameter value to reset the CBSD out of a situation where it is out of sync with the SAS and there is no easier solution than to reset the registration. Examples are: The CBSD has been deregistered by the owner or deregistration is required by authorities, and presumably the CBSD needs to refresh its local configuration management.

In the *Response* object, the SAS can optionally include supplemental data (e.g., using the *responseData* parameter) to help the CBSD with further investigation of the error. The following table describes the *responseData* definitions to be included with some *responseCode* values.

Table 50: responseData Definitions

| Table 50: responseData Definitions | | |
|---|---------------------------|--|
| Response Codes | Data Type of responseData | Description of responseData |
| VALUE (NAME): 0 (SUCCESS) EXTENSION TYPE: RELEASE 2 ENHANCEMENT FEATURE ID: Mandatory | array of string | Not applicable to Release 1 operation. For Release 2 operation, the value of the first element of the array shall be either of the followings: "GENERAL" "PARAM_WARNING" "FID_WARNING" "MULTI_GROUP_HANDLING_WARNING" "GENERAL" notifies supplemental general information (not related specifically to a Feature ID or parameter) is available in the <i>responseMessage</i> . No subsequent element of the array is included. |
| | | "PARAM_WARNING" notifies the warning about the parameters indicated by the subsequent elements of the array. The value(s) of the subsequent element(s) shall be name(s) of non-critical parameter(s) (see section 6.2.3). "FID_WARNING" notifies CBSDs that one or more common operationally supported feature(s), listed in |
| | | subsequent element(s) of the array, miss critical information and therefore not considered operational by the SAS. The value(s) of the subsequent element(s) shall be FID(s). |
| | | "MULTI_GROUP_HANDLING_WARNING" notifies CBSDs that a combination of two or more declared Groups leads to conflict or is unsupported by the SAS. The value(s) of the subsequent element(s) shall be name(s) of such Groups which are ignored by the SAS due to a conflict or are unsupported by the SAS. |
| VALUE (NAME): 100 (VERSION) | array of string | Protocol versions supported by the SAS administrator |





| Response Codes | Data Type of responseData | Description of responseData |
|---|---------------------------|--|
| VALUE (NAME): 101 (BLACKLISTED) | n/a | n/a |
| VALUE (NAME): 102 (MISSING_PARAM) | array of string | A list of missing parameter name(s) |
| VALUE (NAME): 103 (INVALID_VALUE) | array of string | A list of parameter name(s) with invalid value(s) |
| VALUE (NAME): 104 (CERT_ERROR) | n/a | n/a |
| VALUE (NAME): 105 (DEREGISTER) | n/a | n/a |
| VALUE (NAME): 106 (NOT_PROCESSED) EXTENSION TYPE: RELEASE 2 FEATURE ID: Mandatory | array of string | The first element of the array contains a number in the string format. The number is the suggested wait time in seconds before the CBSD may resend the request message after receiving this <i>responseCode</i> . |
| VALUE (NAME): 200 (REG_PENDING) | array of string | A list of missing registration parameter name(s) |
| VALUE (NAME): 201 (GROUP_ERROR) | n/a | n/a |
| VALUE (NAME): 300 (UNSUPPORTED_SPECTRUM) | n/a | n/a |
| VALUE (NAME): 400 (INTERFERENCE) | n/a | n/a |
| VALUE (NAME): 401 (GRANT_CONFLICT) | array of string | The Grant ID of an existing Grant that causes the conflict. |
| VALUE (NAME): 500 (TERMINATED_GRANT) | n/a | n/a |
| VALUE (NAME): 501 (SUSPENDED_GRANT) | n/a | n/a |
| VALUE (NAME): 502 (UNSYNC_OP_PARAM) | n/a | n/a |





Appendix A (Informative) Document History

| Document history | | |
|------------------|-------------------|---|
| V1.0.0 | 5 March 2020 | Initial Release |
| V1.1.0 | 30 September 2020 | Technical Revision addressing numerous change requests |
| V1.1.1 | 19 February 2021 | Editorial correction of reference [n.6] |
| V1.2.0 | 30 June 2021 | Technical Revision addressing numerous change requests in Enhanced CBSD Group Handling feature. |
| V1.2.1 | 30 June 2021 | Technical Clarification clarifying support of this TS for Release 2 compliance in section 6.1. |
| | | |
| | | |
| | | |
| | | |