



SDR Forum Whistle Blower Policy

Version 1.0

As Approved on 25 November 2008

This Whistleblower Policy of The SDR Forum: (1) encourages staff and volunteers to come forward with credible information on illegal practices or serious violations of adopted policies of the organization; (2) specifies that The SDR Forum will protect the person from retaliation; and (3) identifies where such information can be reported.

- 1. Encouragement of reporting.** The SDR Forum encourages complaints, reports or inquiries about illegal practices or serious violations of The SDR Forum's policies, including illegal or improper conduct by The SDR Forum itself, by its leadership, or by others on its behalf. Appropriate subjects to raise under this policy would include financial improprieties, accounting or audit matters, ethical violations, or other similar illegal or improper practices or policies. Other subjects on which The SDR Forum has existing complaint mechanisms should be addressed under those mechanisms, such as raising matters of alleged discrimination or harassment via The SDR Forum's human resources channels, unless those channels are themselves implicated in the wrongdoing. This policy is not intended to provide a means of appeal from outcomes in those other mechanisms.
- 2. Protection from retaliation.** The SDR Forum prohibits retaliation by or on behalf of The SDR Forum against staff or volunteers for making good faith complaints, reports or inquiries under this policy or for participating in a review or investigation under this policy. This protection extends to those whose allegations are made in good faith but prove to be mistaken. The SDR Forum reserves the right to discipline persons who make bad faith, knowingly false, or vexatious complaints, reports or inquiries or who otherwise abuse this policy.
- 3. Where to report.** Complaints, reports or inquiries may be made under this policy on a confidential or anonymous basis. They should describe in detail the specific facts demonstrating the bases for the complaints, reports or inquiries. They should be directed to The SDR Forum's CEO or Chairman of the Board of Directors; if both of those persons are implicated in the complaint, report or inquiry, it should be directed to the Chair of the SDR Forum. The SDR Forum will conduct a prompt, discreet, and objective review or investigation. Staff or volunteers must recognize that The SDR Forum may be unable to fully evaluate a vague or general complaint, report or inquiry that is made anonymously.