

# Lw and ULw POSIX AEPs for Resource Constrained Processors

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## **Executive Summary**

This specification addresses specification of standard software interfaces applicable between SDR Applications and RTOS available on resource constrained processors such as DSPs.

It has been elaborated by the Wireless Innovation Forum as a contribution to SCA 4.1 elaboration, and aims at providing standard solution for the broadest range of actors of the SDR eco-system.

It defines base AEPs groups of POSIX functions for "Lightweight" / "Lw" and "Ultra-Lightweight" / "ULw" AEPs, in complement to the POSIX AEP defined by SCA 2.2.2.

Both have the same features for threads, semaphores, mutexes, timers and message queues. The Lw profile additionally features condition variables. The ULw brings minor restrictions concerning message queues (limited size and no support of priorities).

By contrast to the SCA AEPs, the AEPs defined in this specification do not address support of C language standard libraries.

In addition to the base AEPs groups, the specification defines two additional groups of POSIX functions, which enable to complement the base AEPs groups with: (i) run-time detection of errors, (ii) release of RTOS resources for SDR Application termination.

As support content, an exhaustive overview of the specification content is provided, by positioning content in front of the entire set of POSIX functions, as done in the SCA 2.2.2 Appendix B tables.

Besides, exhaustive rationale regarding the design choices is provided, on a function-by-function basis.

This specification is a solution that took into account and harmonized two publicly available solutions: the SCA 4.0.1 Lw AEP and the ESSOR Architecture DSP AEP.

It is expected that this specification will be broadly used within the international SDR eco-system structured through usage of SDR standards supporting SDR Applications portability.





## **Contributors**

The direct contributors to this specification were:

- Aeroflex, with Dave Hagood as main contributor.
- Harris Corporation, with Dave Carlson as main contributor,
- Hitachi Kokusai Electric, with Dave Murotake as main contributor,
- Raytheon, with Jimmie Marks as main contributor,
- THALES Communications & Security, with Eric Nicollet as main contributor.

Direct contributions to the specification have been provided by contributors working with JTNC Standards, who provided methodological guidance in order to facilitate later exploitation of the specification in the elaboration process of SCA 4.1.



## Lw and ULw POSIX AEPs for Resource Constrained Processors

## 1 Introduction

## 1.1 Aim of the specification

## 1.1.1 Positioning

This specification addresses definition of standard software interfaces between SDR (Software Defined Radio) Applications and RTOS (Real-Time Operating System) capabilities of SDR OEs (Operating Environments), addressing resource constrained processors.

The said software interfaces are defined as POSIX<sup>TM</sup>-compliant (Portable Operating System Interfaces) Application Environment Profiles (AEPs).

This specification defines groups of POSIX functions that can be used by specification users for definition of "Lightweight" / "Lw" and "Ultra-Lightweight" / "ULw" AEPs applicable for their work products, in complement to the POSIX AEP defined by SCA 2.2.2.

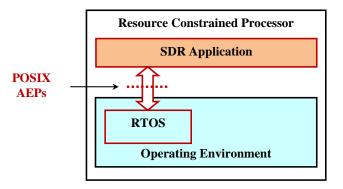


Figure 1 Positioning of the specification

By resource constrained processors are meant processors where a single address space is available (implying absence of process concept) and where no file system is implemented.

The SDR Applications running on such environments are considered to be hard-real time applications, implying their execution is constrained by strict execution deadlines.

While DSPs (Digital Signal Processors) are typical examples of what is meant by resource constrained processors, the set of potentially interested processors is broader than only DSPs, while this specification is not relevant for DSPs where not RTOS is available.

The specification supports emergence of portable SDR Applications and open, standard compliant, Operating Environments in resource constrained processors.





The addressed AEPs address a range of processors that were not covered by the SCA 2.2.2 AEP, which was primarily established for GPPs (General Purpose Processors).

By contrast to the AEP defined in SCA 2.2.2, only the relationship between SDR Application and RTOS capabilities of OEs are addressed. Other aspects addressed by POSIX are SCA 2.2.2 AEP, in particular the support of standard C language libraries, is not part of this specification.

## 1.1.2 Elaboration context and initial objectives

The AEPs addressed in this specification are primarily aimed at supporting elaboration of SCA 4.1. Besides, they are subject to be used by any interested user within the SDR eco-system.

The reference POSIX specification taken as basis for elaboration of the specification is POSIX 2013, as publicly available through the Open Group online distribution (see [Ref1]).

The initial elaboration approach was to improve and harmonize into a reference specification the achievements of two pre-existing publicly available AEPs: the Lw AEP defined in Appendix B of SCA 4.0.1 (see [Ref2]) and the DSP AEP of the ESSOR Architecture (see [Ref3]).

## 1.1.3 OEs implementation possibilities

The terminology "Operating Environment RTOS" is NOT necessarily referring to a COTS RTOS available for RTOS vendors, and is to be understood in a broader sense, only corresponding to the set of specified POSIX capabilities.

The implementation strategies of the said "OE RTOS" are then entirely under platform providers' responsibility. Some examples of possibilities offered are:

- A COTS RTOS natively supporting the AEPs, integrated "as is" within the complete OE,
- A software implementation of the expected AEPs capability realized on top of a COTS micro-kernel or a proprietary RTOS, and being integrated within the complete OE.

The specification content is therefore written in abstraction of the OE implementation choices, without presupposing that the underlying solution shall be a POSIX-compliant RTOS.

### **1.2** Specification content

### 1.2.1 Lw and ULw Base AEPs functions groups

Base AEPs functions groups provide the essential capabilities for RTOS usage.

The two *Base AEPs functions groups* are defined as:

- The "Base Lightweight / Lw AEP functions group",
- The "Base Ultra-lightweight / ULw AEP functions group".

The two Base AEPs functions groups commonly feature *Threads*, *Semaphores*, *Message queues*, *Mutexes* and *Timers* capabilities.





The differences between the Base AEPs functions groups are:

- The Lw features *Condition variables* capabilities, while the ULw does not,
- The ULw does not support *Message queues* priorities and restricts the messages size to size of (void \*).

The Base ULw AEP functions group is therefore as a strict subset of the Base Lw AEP functions group.

The existence of the Base Lw and ULw AEPs functions groups is justified by grounded distinct use cases for conforming to one AEP or the other.

The Base Lw AEP functions group is designed as the natural choice for resource constrained processors featuring lightweight POSIX-certified RTOS.

The Base ULw AEP functions group is designed as a suitable solution for processors with ultraconstrained resources, where the Base Lw AEP functions group features may consume too many resource. Such environments are prone to usage of COTS DSP RTOSes or micro-kernels, completed by the OE integrator so as to expose the Base ULw AEP functions group.

Normative content for Base AEP is in § 2, with associated rationale in § 6.2.

## 1.2.2 Additional groups

Additional groups of POSIX functions enable users of the specification to extend the selected Base AEP functions group, if and as required.

### Group A

Group A essentially contains the POSIX functions enabling SDR Applications to get values of RTOS-related attributes ("get" functions). Their usage can typically ease the porting phase in enabling errors detection in the SDR Application code.

Normative content for Group A is in § 3, with associated rationale in § 6.3.

### Group B

Group B contains the POSIX functions enabling SDR Application to release RTOS resources. Their usage is required for termination of SDR Applications ensuring that no unused RTOS resource remains allocated once the application is inactive.

Normative content for Group B is provided in § 4, with associated rationale in § 6.4.

### 1.3 Conformance

### 1.3.1 Applicable AEPs

Users of the specification are using the specification in determining the *applicable AEP* for their conformant work products.





First, one Base AEPs functions group has to be selected among the **Base Lw AEP functions group** and the **Base ULw AEP functions group.** This choice determines if the *applicable AEP* is a Lw or a ULw AEP.

Then, as needed, the selected Base AEPs functions group can be augmented with **Group A** and/or **Group B** functions, thus completing definition of the *applicable AEP*. This choice is not impacting the fundamental design choices of the work products, and is rather influenced by porting assumptions.

## 1.3.2 Conformance of SDR Applications

The applicable AEP for a conformant SDR Application has to be defined in accordance with § 1.3.1.

## **Conformity criteria**

A SDR Application is *conformant* with one *applicable AEP* if the said SDR Application **exclusively uses**, as far as RTOS capabilities are concerned, the capabilities of the said *applicable AEP*.

### **Verification procedure**

Establishment of the *verification procedure* applicable for verification of the conformity criteria is beyond the scope of this specification.

It implies to determine the exact meaning of the "exclusively uses" part of the conformity clause, that can go from simple syntactical verification of a source code to exhaustive verification of dependencies, including flagging of forbidden POSIX functions using a black list of functions.

Significant margins of interpretation exist as well concerning the decision to test or not that the SDR Application is making some "forced" calls, such as the attributes setting calls listed by the specification for threads or mutexes creation.

## 1.3.3 Conformance of Operating Environments

### **Conformity criteria**

The applicable AEP for a conformant OE has to be defined in accordance with § 1.3.1.

An Operating Environment is *conformant* with one *applicable AEP* if the said OE **at least implements the full set** of capabilities specified in the said *applicable AEP*.

An Operating Environment is *compliant* with one *applicable AEP* if the said OE **implements a non-trivial subset** of capabilities of the said *applicable AEP*, the implemented functions being individually complying with the specification.





## Verification procedure

Establishment of the *verification procedure* applicable for verification of those conformity criteria is beyond the scope of this specification.

It implies to determine if a specific testing procedure is required to declare OE conformance, or if a usage-based validation approach is sufficient.

Besides, a verification procedure could imply to require a formal POSIX conformity certificate, duly established in application of POSIX conformity testing rules.

### **POSIX** conformance

As far as the range of capabilities addressed by this specification is concerned, no difference has been identified by specification developers between the POSIX 2013 [Ref1] version of POSIX, which serves as main reference for this document, and POSIX 2008.1, which is a version of POSIX largely implemented by COTS RTOS vendors. This implies that RTOS complying with POSIX 2008 are assumed conformant with the content of this specification.

## 1.3.4 Benefits of conformance

For an Operating Environment, conformance to one *applicable AEP* will ensure capability for the said OE to host SDR Applications conformant with the same *applicable AEP*, or with narrower AEPs.

For SDR Applications, conformance to one *applicable AEP* will ensure possibility to port the said SDR Application to OEs conformant with the same *applicable AEP*, or with broader AEPs.





## 2 Base Lw and ULw AEPs

### 2.1 Introduction

This chapter provides the normative content for definition of the Base AEPs functions groups (ULw ad Lw).

It is structured across 6 POSIX capabilities:

- Threads.
- Semaphores,
- Mutexes,
- Message queues (with ULw-specific restrictions),
- Timers
- Condition variables (Lw only).

### 2.2 Threads

## 2.2.1 Overview of Base AEPs Threads

The following table gives the set of POSIX functions of the Base Lw and ULw AEPs for *Threads* capability.

Table 1 POSIX functions of the Base AEPs for Threads

POSIX functions of the Base	Summary role	Normative content in
AEPs		
pthread_create()	Thread creation	Threads lifecycle
pthread_self()	Thread ID retrieving	Threads lifecycle
<pre>pthread_attr_init()</pre>	Thread attr creation	Threads attr lifecycle
pthread_attr_destroy()	Thread attr destruction	Threads attr lifecycle
<pre>pthread_attr_setdetachstate()</pre>	Setting detachstate	Attribute <i>detachstate</i>
<pre>pthread_attr_setinheritsched()</pre>	Setting inheritsched	Attribute inheritsched
<pre>pthread_attr_setchedparam()</pre>	Setting schedparam	Attribute schedparam
<pre>pthread_attr_setschedpolicy()</pre>	Setting schedpolicy	Attribute <i>schedpolicy</i>
<pre>pthread_attr_setstack()</pre>	Setting stack size and	Stack handling
	address	
<pre>pthread_attr_setstacksize()</pre>	Setting stack size	Stack handling

## 2.2.2 Normative content for Base AEPs Threads

## 2.2.2.1 Threads lifecycle

**POSIX functions:** *pthread\_create()* and *pthread\_self()*.

POSIX standard is fully applicable.

The created threads only support the specific values indicated in following chapters for attributes *detachstate*, *inheritsched* and *schedpolicy*.





The related destructor *pthread\_exit()* is member of Group B of functions, see § 4.2.

## 2.2.2.2 Threads attributes lifecycle

**POSIX functions:** *pthread\_attr\_init()* and *pthread\_attr\_destroy()*.

POSIX standard is fully applicable.

### 2.2.2.3 Attribute *detachstate*

**POSIX functions:** *pthread\_attr\_setdetachstate().* 

The *detachstate* attribute of threads is always equal to PHTHREAD\_CREATE\_DETACHED. Other values, including POSIX default PTHREAD\_CREATE\_JOINABLE, are not part of the required capabilities.

POSIX standard is otherwise fully applicable.

The POSIX function *pthread\_attr\_setdetachstate()* is in the Base AEPs, to explicitly set attribute *detachstate* to PTHREAD CREATE DETACHED.

The related accessor *pthread\_attr\_getdetachstate()* is member of Group A of functions, see § 3.2.

## **Implications on conformant products:**

- A conformant SDR Application has to explicitly call *pthread\_attr\_setdetachstate(* PTHREAD\_CREATED\_DETACHED),
- A conformant OE has to implement *pthread\_attr\_setdetachstate()*, even if only supporting PTHREAD\_CREATE\_DETACHED value.

### 2.2.2.4 Attribute inheritsched

**POSIX functions:** *pthread\_attr\_setinheritsched()*.

The *inheritsched* attribute of threads is always equal to PTHREAD\_EXPLICIT\_SCHED. Other values are not part of the required capabilities.

POSIX standard is otherwise fully applicable.

The POSIX function *pthread\_attr\_setinheritsched()* is in the Base AEPs, to explicitly set attribute *inheritsched* to PTHREAD\_EXPLICIT\_SCHED.

The related accessor pthread attr getinheritsched() is member of Group A of functions, see § 3.2.

### **Implications on conformant products:**

- A conformant SDR Application has to explicitly call *pthread\_attr\_setinheritsched(* PTHREAD\_EXPLICIT\_SCHED),
- A conformant OE has to implement *pthread\_attr\_setinheritsched()*, even if only supporting PTHREAD\_EXPLICIT\_SCHED value.





## 2.2.2.5 Attribute *schedparam*

**POSIX functions:** *pthread\_attr\_setschedparam()*.

POSIX standard is fully applicable.

The related accessor *pthread\_attr\_gettschedparam()* is member of Group A of functions, see § 3.2.

## 2.2.2.6 Attribute *schedpolicy*

**POSIX functions:** *pthread\_attr\_setschedpolicy().* 

The *schedpolicy* attribute of threads is always equal to SCHED\_FIFO. Other values are not part of the required capabilities.

POSIX standard is otherwise fully applicable.

The POSIX function *pthread\_attr\_setschedpolicy*() is in the Base AEPs, to explicitly set attribute *schedpolicy* to SCHED\_FIFO.

The related accessor *pthread\_attr\_gettschedpolicy()* is member of Group A of functions, see § 3.2.

### **Implications on conformant products:**

- A conformant SDR Application has to explicitly call *pthread\_attr\_setschedpolicy*( SCHED\_FIFO),
- A conformant OE has to implement *pthread\_attr\_setschedpolicy()*, even if only supporting SCHED\_FIFO.

### 2.2.2.7 Stack handling

**POSIX functions:** pthread\_attr\_setsstack() and pthread\_attr\_setsstacksize().

POSIX standard is fully applicable.

The related accessors *pthread\_attr\_getstacksize()* and *pthread\_attr\_getstackaddr()* are members of Group A of functions, see § 3.2.

### 2.3 Semaphores

## 2.3.1 Overview of Base AEPs Semaphores

The following table gives the set of POSIX functions of the Base Lw and ULw AEPs for *Semaphores* capability.





**Table 2 POSIX functions of the Base AEPs for Semaphores** 

POSIX function	Summary role	Normative content in
sem_init()	Semaphore creation	Semaphores lifecycle
sem_post()	Posting	Semaphores usage
sem_wait()	Waiting	Semaphores usage

## 2.3.2 Normative content for Base AEPs Semaphores

## 2.3.2.1 Semaphores lifecycle

**POSIX functions:** *sem\_init()*.

The related destructor *sem\_destroy()* is member of Group B of functions, § 4.2.

POSIX standard is fully applicable.

## 2.3.2.2 Semaphores usage

**POSIX functions:** *sem\_post()* and *sem\_wait()*.

POSIX standard is fully applicable.

The accessor to instant value of semaphore *sem\_getvalue()* is the member function of the Group A of functions, see § 3.2.

### 2.4 Mutexes

The following table gives the set of POSIX functions of the Base Lw and ULw AEPs for *Mutexes* capability.

Table 3 POSIX functions of the Base AEPs for Mutexes

POSIX function	Summary role	Normative content in	
<pre>pthread_mutex_init()</pre>	Mutex creation	Mutexes lifecycle	
<pre>pthread_mutexattr_init()</pre>	Mutex attr creation	Mutexes attr lifecycle	
<pre>pthread_mutexattr_destroy()</pre>	Mutex attr destruction	Mutexes attr lifecycle	
<pre>pthread_mutexattr_setprioceiling()</pre>	Setting prioceiling	Attribute <i>prioceiling</i>	
<pre>pthread_mutexattr_setprotocol()</pre>	Setting <i>protocol</i>	Attribute protocol	
<pre>pthread_mutexattr_settype()</pre>	Setting <i>type</i>	Attribute <i>type</i>	
<pre>pthread_mutex_lock()</pre>	Mutex locking	Mutexes usage	
<pre>pthread_mutex_unlock()</pre>	Mutex unlocking	Mutexes usage	

## 2.4.1 Mutexes lifecycle

**POSIX functions:** *pthread\_mutex\_init()*.

POSIX standard is fully applicable.

The related destructor *pthread\_mutex\_destroy()* is member of Group B of functions, § 4.2.





## 2.4.2 Mutexes attributes lifecycle

**POSIX functions:** *pthread\_mutexattr\_init()* and *pthread\_mutexattr\_destroy()*.

POSIX standard is fully applicable.

## 2.4.3 Attribute protocol

**POSIX functions:** *pthread\_mutexattr\_setprotocol().* 

POSIX standard is fully applicable.

Only the following POSIX-defined values are supported for attribute *protocol*: PTHREAD\_PRIO\_INHERIT and PTHREAD\_PRIO\_PROTECT.

The related accessor *pthread\_mutexattr\_getprotocol()* is member of the Group A of functions, see § 3.2.

## **Implications on conformant products:**

- A conformant OE has to implement *pthread\_mutexattr\_setprotocol*(), with at least one *protocol* value supported among PTHREAD\_PRIO\_INHERIT and PTHREAD PRIO PROTECT.
- A conformant SDR Application has to use one of the available values for attribute *protocol*.
- A modification in the SDR Application can be required to adapt the SDR Application if migrating from one platform supporting one protocol to another only supporting protocol.

### 2.4.4 Attribute prioceiling

**POSIX functions:** *pthread\_mutexattr\_setprioceiling().* 

Those functions are only necessary in case PTHREAD\_PRIO\_PROTECT is the applicable protocol.

POSIX standard is fully applicable.

The related accessor *pthread\_mutexattr\_getprioceiling()* is member of the Group A of functions, see § 3.2.

## **Implications on conformant products:**

- A conformant OE has to implement *pthread\_attr\_setprioceiling()*, if supporting PTHREAD\_PRIO\_PROTECT,
- A conformant SDR Application has to call *pthread\_attr\_setprioceiling()*, if using PTHREAD\_PRIO\_PROTECT.

### 2.4.5 Attribute type

**POSIX functions:** *pthread\_mutexattr\_settype().* 





The *type* attribute of mutexes is always equal to PTHREAD\_MUTEX\_NORMAL, implying usage of non-robust mutexes is sufficient.

POSIX standard is otherwise fully applicable.

The POSIX function *pthread\_mutexattr\_settype()* is in the Base AEPs, to explicitly set attribute *type* to PTHREAD MUTEX NORMAL.

The related accessor *pthread\_mutexattr\_gettype()* is member of the Group A of functions, see § 3.2.

### **Implications on conformant products:**

- A conformant SDR Application has to explicitly call *pthread\_mutexattr\_settype*( PTHREAD\_MUTEX\_NORMAL),
- A conformant OE has to implement *pthread\_mutexattr\_settype()*, even if only supporting PTHREAD\_MUTEX\_NORMAL.

## 2.4.6 Mutexes usage

**POSIX functions:** *pthread\_mutex\_lock()* and *pthread\_mutex\_unlock()*.

POSIX standard is fully applicable.

## 2.5 Message queues

### 2.5.1 Overview of Basre AEPs Message queues

The following table gives the set of POSIX functions of the Base Lw and ULw AEPs for *Message queues* capability.

Table 4 POSIX Functions of the Base AEPs for Message queues

POSIX function	Summary role	Normative content in	
mq_open()	Message queue creation	Message queues lifecycle	
mq_receive()	Message queue locking	Message queues usage	
mq_send()	Message queue	Message queues usage	
	unlocking		

### 2.5.2 Normative content for Base AEPs Message queues

### 2.5.2.1 Message queues lifecycle

**POSIX functions:** *mq\_open()*.

POSIX standard is fully applicable.

The related destructor mq close() is member of Group B of functions, § 4.2.





## 2.5.2.2 Message queues usage

**POSIX functions:**  $mq\_receive()$  and  $mq\_send()$ .

POSIX standard is fully applicable.

The flag O\_NON\_BLOCKING is never set, implying nominal blocking behavior is always used by message queues.

**IMPORTANT:** the following restrictions specifically apply to Base ULw AEP group of functions:

- No support of priorities,
- mq\_msgsize = sizeof( void \*).

The Base Lw AEP group of functions is NOT affected by those restrictions.

### 2.6 Timers

The following table gives the set of POSIX functions of the Base Lw and ULw AEPs for *Timers* capability.

Table 5 POSIX Functions of the Base AEPs for Timers

POSIX function	Summary role	Normative content in	
timer_create()	Timer creation	Timers lifecycle	
clock_getres()	Timer resolution	Clock resolution	
timer_settime()	Timer activation	Timers usage	
clock_gettime()	Time retrieving	Clock usage	

### 2.6.1 Timers lifecyle

**POSIX functions:** *timer\_create()*.

For timers, particular dispositions apply to arguments *clockid* and *sigevent* of function *timer\_create()*.

For clockid, no other POSIX-defined values than CLOCK REALTIME can be used.

In case the resolution available with CLOCK\_REALTIME is not satisfying the need of the SDR Application, usage of implementation-defined *clockid* values for higher resolution clocks is permitted.

For *sigevent* argument, the *sigev\_notify* member of the *sigevent* structure has to be equal to SIGEV\_THREAD or to one implementation-defined value.

In case SIGEV\_THREAD is implemented, the thread of execution of the callback has to be a system thread created at timer creation, otherwise an implementation-defined mechanism is to be used.





The *sigevent* structure *sigev\_notify* member values SIGEV\_NONE and SIGEV\_SIGNAL are not part of the required capabilities. Otherwise, POSIX standard is fully applicable.

The related destructor *timer\_delete()* is member of Group B of functions, § 4.2.

## **Implications on conformant products:**

- A conformant OE has to support value CLOCK\_REALTIME for argument *clockid*, plus implementation-defined value for higher resolution if required,
- A conformant OE has to support, for member *sigev\_notify* of argument *sigevent*, at least one value among SIGEV\_THREAD or implementation-defined value(s),
- A conformant SDR Application has to use one of the values supported by the OE (if several).
- A modification in the SDR Application will be required when adapting it to support an implementation-defined value for *sigev\_notify*.

### 2.6.2 Clock resolution

**POSIX functions:** *clock\_getres()*.

POSIX standard is fully applicable.

Only CLOCK\_REALTIME and implementation-defined value for higher resolution, if required, are supported for argument *clockid*.

2.6.3 Timers usage

**POSIX functions:** *timer\_settime()*.

POSIX standard is fully applicable.

The dispositions described in § 2.6.1 are applicable to activation of callback at timer expiration.

The related accessor *timer\_gettime()* is the member of Group A of functions, see § 3.2.

2.6.4 Clock usage

**POSIX functions:** *clock\_gettime()*.

POSIX standard is fully applicable.

Only CLOCK\_REALTIME and implementation-defined value for higher resolution, if required, are supported for argument *clockid*.

### 2.7 Condition variables

The following table gives the set of POSIX functions of the Base Lw AEP group of functions for *Condition variables* capability.





**IMPORTANT:** Condition variables are not supported in the Base ULw AEP group of functions.

#### Table 6 POSIX Functions of the Base Lw AEP for Condition Variables

POSIX function	Summary role	Normative content in	
<pre>pthread_cond_init()</pre>	Start of lifecycle	Condition variables	
		lifecycle	
<pre>pthread_cond_broadcast()</pre>	Wake-up all waiters	Condition variables usage	
pthread_cond_signal()	Wake-up one waiter	Condition variables usage	
<pre>pthread_cond_wait()</pre>	Wait for signal/broadcast	Condition variables usage	

## 2.7.1 Condition variables lifecycle

**POSIX functions:** pthread\_cond\_init().

POSIX standard is fully applicable.

The SDR Applications have to pass a NULL *pthread\_cond\_attr\_t* pointer when calling *pthread\_cond\_init()*.

The related destructor *pthread\_cond\_destroy()* is member of Group B of functions, § 4.2.

## 2.7.2 Condition variables attributes lifecycle

**POSIX functions:** *pthread\_condattr\_init()* and *pthread\_condattr\_destroy()*.

POSIX standard is fully applicable.

## 2.7.3 Condition variables usage

**POSIX functions:** *pthread\_cond\_broadcast()*, *pthread\_cond\_signal()* and *pthread\_cond\_wait()*.

POSIX standard is fully applicable.





## 3 Group A of functions

### 3.1 Introduction

Group A essentially contains the POSIX functions enabling SDR Applications to get values of RTOS-related attributes ("get" functions). Their usage can typically ease the porting phase in enabling errors detection in the SDR Application code.

## 3.2 Group A Functions

The functions of Group A are listed in the following table.

## **Table 7 POSIX Group A Functions**

POSIX function	Summary role	Normative content in
pthread_attr_getguardsize()	Retrieving guardsize	Threads creation attributes
pthread_attr_getdetachstate()	Retrieving detachstate	Threads creation attributes
pthread_attr_getinheritsched()	Retrieving inheritsched	Threads creation attributes
<pre>pthread_attr_getschedparam()</pre>	Retrieving schedparam	Threads creation attributes
pthread_attr_getschedpolicy()	Retrieving schedpolicy	Threads creation attributes
pthread_attr_getstackaddr()	Retrieving stackaddr	Threads creation attributes
pthread_attr_getstacksize()	Retrieving stacksize	Threads creation attributes
pthread_mutexattr_getprioceiling()	Retrieving prioceiling	Mutexes creation attributes
pthread_mutexattr_getprotocol()	Retrieving protocol	Mutexes creation attributes
pthread_mutexattr_gettype()	Retrieving <i>type</i>	Mutexes creation attributes
pthread_attr_setguardsize()	Setting guardsize	Guard size handling
sem_getvalue()	Retrieving instant semaphore value	Semaphores monitoring
timer_gettime()	Retrieving instant timers time	Timers monitoring

To facilitate porting on an OE where Group A would not be available, a SDR Application conformant with Group A functions has to limit usage of Group A functions to run-time errordetection.





## 3.2.1 Threads creation attributes

**POSIX** functions: pthread\_attr\_getguardsize(), pthread\_attr\_getdetachstate(), pthread\_attr\_getinheritsched(), thread\_attr\_getschedparam(), pthread\_attr\_getschedpolicy(), pthread\_attr\_getschedstackaddr() and pthread\_attr\_getstaksize().

POSIX standard is fully applicable.

3.2.2 Mutexes creation attributes

**POSIX functions:** pthread\_mutexattr\_getprioceiling(), pthread\_mutexattr\_getprotocol() and pthread\_mutexattr\_gettype().

POSIX standard is fully applicable.

3.2.3 Guard size setting

**POSIX function:** *pthread\_attr\_setguardsize()* and *pthread\_attr\_getguardsize()*.

POSIX standard is fully applicable.

For OE where *guardsize* setting is not possible, the function *pthread\_attr\_setguardsize*() has to be implemented with a void behavior.

3.2.4 Semaphores monitoring

**POSIX function:** *sem\_getvalue()*.

POSIX standard is fully applicable.

3.2.5 Timers monitoring

**POSIX function:** *timer gettime()*.

POSIX standard is fully applicable.





## 4 Group B of functions

## 4.1 Introduction

Group B contains the POSIX functions enabling SDR Application to release RTOS resources. Their usage is required for termination of SDR Applications ensuring that no unused RTOS resource remains allocated once the application is inactive.

## **4.2** Group B Functions

The functions of Group B are listed in the following table.

### **Table 8 POSIX Group B Functions**

POSIX function	Summary role	Normative content in	
pthread_exit()	Terminate a thread	Threads resources	
		releasing	
sem_destroy()	Destroy a semaphore	Semaphore releasing	
mq_close()	Close a message queue	Message queue releasing	
<pre>pthread_mutex_destroy()</pre>	Destroy a mutex	Mutexes resources	
		releasing	
timer_delete()	Delete a timer	Timer releasing	
<pre>pthread_cond_destroy()</pre>	Destroy a condition	Condition variable	
	variable (Base Lw only)	releasing	

To facilitate porting on OE where Group B would not be available, a SDR Application conformant with Group B functions has to limit usage of Group B functions to the termination phase.

4.2.1 Threads resources releasing

**POSIX function:** *pthread\_exit()*.

POSIX standard is fully applicable.

4.2.2 Semaphore releasing

**POSIX function:** *sem\_destroy()*.

POSIX standard is fully applicable.

4.2.3 Message queue releasing

**POSIX function:** *mq\_close()*.

POSIX standard is fully applicable.

4.2.4 Mutexes resources releasing

**POSIX function:** *pthread\_mutex\_destroy()*.





POSIX standard is fully applicable.

4.2.5 Timer releasing

**POSIX function:** *timer\_delete()*.

POSIX standard is fully applicable.

4.2.6 Condition variable releasing

**POSIX function:** *pthread\_cond\_destroy()*.

POSIX standard is fully applicable.

This function is member of Group B only when Base Lw AEP group is used, since only Lw features condition variables.





## 5 Perspectives on specification content

## 5.1 Possible applicable AEPs

The rules for elaboration of applicable AEPs result in the following 8 different possibilities for applicable AEPs:

- 4 variants of Lw AEPs:
  - Base Lw AEP functions group only,
  - Base Lw AEP functions group with Group A,
  - Base Lw AEP functions group with Group B,
  - Base Lw AEP functions group with Group A and Group B.
- 4 variants of ULw AEPs:
  - Base ULw AEP functions group only,
  - Base ULw AEP functions group with Group A,
  - Base ULw AEP functions group with Group B,
  - Base ULw AEP functions group with Group A and Group B.

The essential choice represents selection of the Base functions group, that will determine if the applicable AEP is Lw or ULw.

The variants allowed by presence of additional groups enable to broaden usage of the specification to a variety of porting situations.

Definition of more prescriptive requirements concerning usage of the specification is possible, for instance in limiting, for certain markets or users perspective, the number of applicable AEPs possibly used.

### 5.2 AEPs content

This section is based on tables that provide for each of the Base AEPs functions groups (Lw and ULw), a status regarding presence of each individual function of the functions group.

As such, the tables are close to those available in SCA Appendix B (see [Ref2]).

The status is provided according to the following convention:

- IN (MAN): the function is IN the profile, with no identified restriction compared to the POSIX standard
  - This is equivalent to the "mandatory = MAN" status used in SCA tables,
- OUT (NRQ): the function is OUT of the profile,
  - This is equivalent to the "not requested = NRQ" status used in SCA tables,
- PRT (PRT): the function is in the profile, with a partial set of capabilities with regards to full POSIX functionality,
  - This is somehow equivalent to the "partial = PRT" status used in SCA tables.

By contrast with SCA Appendix E, the only tables provided are corresponding to the function groups where at least one function is present IN the Base Lw and Base ULw functions groups:

• POSIX\_MQUEUES: covers the *message queues* capability,





- POSIX\_SEMAPHORES: covers the *semaphores* capability,
- POSIX\_TIMERS: covers the *timers* capability,
- POSIX\_THREADS\_BASE: covers the *threads*, *mutexes* and *condition variables* capabilities.

## 5.2.1 POSIX\_MQUEUE functions

Table 9 Specified functions groups wrt POSIX\_MQUEUE

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/ULw
mq_close()	MAN	Group B	Group B	
mq_getattr()	MAN	OUT (NRQ)	OUT (NRQ)	
mq_notify()	MAN	OUT (NRQ	OUT (NRQ	
mq_open()	MAN	IN (MAN)	PRT (PRT)*	YES
mq_receive()	MAN	IN (MAN)	IN (MAN)	
mq_send()	MAN	IN (MAN)	IN (MAN)	
mq_setattr()	MAN	OUT (NRQ)	OUT (NRQ)	
mq_timedreceive()	NRQ	OUT (NRQ)	OUT (NRQ)	
mq_timedsend()	NRQ	OUT (NRQ)	OUT (NRQ)	
mq_unlink()	MAN	OUT (NRQ)	OUT (NRQ)	

<sup>\*:</sup> the partial (PRT) indication for function  $mq\_open()$  of the ULw AEP denotes absence of priorities support and the size limited to size of (void \*).

This is one point of difference between the Lw and the ULw AEPs.

## 5.2.2 POSIX\_SEMAPHORES functions

Table 10 Specification content wrt POSIX\_SEMAPHORES

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/Ulw
sem_close()	MAN	OUT (NRQ)	OUT (NRQ)	
sem_destroy()	MAN	Group B	Group B	
sem_getvalue()	MAN	Group A	Group A	
sem_init()	MAN	IN (MAN)	IN (MAN)	
sem_open()	MAN	OUT (NRQ)	OUT (NRQ)	
sem_post()	MAN	IN (MAN)	IN (MAN)	
sem_timedwait()	MAN	OUT (NRQ)	OUT (NRQ)	
sem_trywait()	MAN	OUT (NRQ)	OUT (NRQ)	
sem_unlink()	MAN	OUT (NRQ)	OUT (NRQ)	
sem_wait()	MAN	IN (MAN)	IN (MAN)	





## 5.2.3 POSIX\_TIMERS functions

Table 11 Specification content wrt POSIX\_TIMERS

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/Ulw
clock_getres()	MAN	IN (MAN)	IN (MAN)	
clock_gettime()	MAN	IN (MAN)	IN (MAN)	
clock_settime()	MAN	OUT (NRQ)	OUT (NRQ)	
nanosleep()	MAN	OUT (NRQ)	OUT (NRQ)	
timer_create()	MAN	PRT (PRT)*	PRT (PRT)*	
timer_delete()	MAN	Group B	Group B	
timer_getoverrun()	MAN	OUT (NRQ)	OUT (NRQ)	
timer_gettime()	MAN	Group A	Group A	
timer_settime()	MAN	IN (MAN)	IN (MAN)	

<sup>\*:</sup> the partial (PRT) indication for function *timer\_create*() denote the limitations set in timers expiration conditions, with either possibility to use SIGEV\_THREAD or an implementation specific mechanism.





## 5.2.4 POSIX\_THREADS functions

 $Table~12~Specification~content~wrt~POSIX\_THREADS\_BASE~(except~mutexes~and~cond~vars)$ 

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/Ulw
pthread_atfork()	NRQ	OUT (NRQ)	OUT (NRQ)	
pthread_attr_init()	MAN	IN (MAN)	IN (MAN)	
pthread_attr_destroy()	MAN	IN (MAN)	IN (MAN)	
pthread_attr_getdetachstate()	MAN	Group A	Group A	
pthread_attr_getguardsize()	MAN	Group A	Group A	
pthread_attr_getinheritsched()	MAN	Group A	Group A	
pthread_attr_getschedparam()	MAN	Group A	Group A	
pthread_attr_getschedpolicy()	MAN	Group A	Group A	
pthread_attr_getscope()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_attr_getstack()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_attr_getstackaddr()	MAN	Group A	Group A	
pthread_attr_getstacksize()	MAN	Group A	Group A	
pthread_attr_setdetachstate()	MAN	PRT (PRT)*	PRT (PRT)*	
pthread_attr_setguardsize()	MAN	Group A	Group A	
pthread_attr_setinheritsched()	MAN	PRT (PRT)*	PRT (PRT)*	
pthread_attr_setschedparam()	MAN	IN (MAN)	IN (MAN)	
pthread_attr_setschedpolicy()	MAN	PRT (PRT)*	PRT (PRT)*	
pthread_attr_setscope()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_attr_setstack()	MAN	IN (MAN)	IN (MAN)	
pthread_attr_setstackaddr()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_attr_setstacksize()	MAN	IN (MAN)	IN (MAN)	
pthread_cancel()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_cleanup_xxx()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_create()	MAN	IN (MAN)	IN (MAN)	
pthread_detach()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_equal()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_exit()	MAN	Group B	Group B	
pthread_getschedparam()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_getspecific()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_join()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_key_xxx()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_kill()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_once()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_self()	MAN	IN (MAN)	IN (MAN)	
pthread_setcancelstate()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_setcanceltype()	MAN	OUT (NRQ)	OUT (NRQ)	





pthread_setschedparam()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_setspecific()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_sigmask()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_testcancel()	MAN	OUT (NRQ)	OUT (NRQ)	

\*: the partial (PRT) indications for functions *pthread\_attr\_setdetachstate*(), *pthread\_attr\_setinheritsched*() and *pthread\_attr\_setschedpolicy*() correspond to the limitations set on values of attributes *detachstate* (always equal to PTHREAD\_CREATE\_DETACH), *inheritsched* (always equal to PTHREAD\_EXPLICIT\_SCHED) and *schedpolicy* (always equal to SCHED\_FIFO).

Table 13 Specification content wrt POSIX\_THREADS\_BASE (mutexes)

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/Ulw
pthread_mutex_destroy()	MAN	Group B	Group B	
pthread_mutex_getprioceiling()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutex_init()	MAN	IN (MAN)	IN (MAN)	
pthread_mutex_lock()	MAN	IN (MAN)	IN (MAN)	
pthread_mutex_setprioceiling()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutex_timedlock()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutex_trylock()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutex_unlock()	MAN	IN (MAN)	IN (MAN)	
pthread_mutexattr_destroy()	MAN	IN (MAN)	IN (MAN)	
pthread_mutexattr_getprioceiling()	MAN	Group A*	Group A*	
pthread_mutexattr_getprotocol()	MAN	Group A**	Group A**	
pthread_mutexattr_getpshared()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutexattr_gettype()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutexattr_init()	MAN	IN (MAN)	IN (MAN)	
pthread_mutexattr_setprioceiling()	MAN	IN (MAN)*	IN (MAN)*	
pthread_mutexattr_setprotocol()	MAN	IN (MAN)*	IN (MAN)*	
pthread_mutexattr_setpshared()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_mutexattr_settype()	MAN	PRT (PRT)***	PRT (PRT)***	

<sup>\*:</sup> only required if THREAD\_PRIO\_PROTECT is supported.

\*\*\*: the partial (PRT) indication for function *pthread\_mutexattr\_settype*() corresponds to the limitation set on values of attribute *type* (always equal to PTHREAD\_MUTEX\_NORMAL).

<sup>\*\*:</sup> only support of one among PTHREAD\_PRIO\_INHERIT and THREAD\_PRIO\_PROTECT values for attribute *protocol* is required for the OE. On a given platform, the SDR Application needs to use one of the available protocols.





Table 14 Specification content wrt POSIX\_THREADS\_BASE (cond vars)

Function	SCA AEP	Lw AEPs	ULw AEPs	Diff Lw/Ulw
pthread_cond_broadcast()	MAN	IN (MAN)	OUT (NRQ)	YES
pthread_cond_destroy()	MAN	Group B	OUT (NRQ)	YES
pthread_cond_init()	MAN	IN (MAN)*	OUT (NRQ)	YES
pthread_cond_signal()	MAN	IN (MAN)	OUT (NRQ)	YES
pthread_cond_timedwait()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_cond_wait()	MAN	IN (MAN)	OUT (NRQ)	YES
pthread_condattr_destroy()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_condattr_init()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_condattr_getclock()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_condattr_setclock()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_condattr_getpshared()	MAN	OUT (NRQ)	OUT (NRQ)	
pthread_condattr_setpshared()	MAN	OUT (NRQ)	OUT (NRQ)	

<sup>\*:</sup> creation is to be done by SDR Applications passing a NULL *pthread\_condattr\_t* pointer.

Presence of POSIX Condition variables functions in the Base Lw AEP group and not in the Base ULw AEP group is the main difference between Base Lw and ULw groups.





### 6 Rationales

## 6.1 Design paradigm

## 6.1.1 Selection of main POSIX capabilities

This resulted in consensus regarding support of *Threads*, *Semaphores*, *Mutexes*, *Timers* and *Message queues*.

Support of *Condition variables* appeared to be a point of divergence that stemmed identification of distinct Lw and ULw Base AEP functions groups.

It was as well clarified that the scope of the specification would only address RTOS interfaces, considering as out of scope aspects related to support of standard C language libraries.

## 6.1.2 Function-by-function evaluation

The second step of convergence has been, for each of the selected functionalities, to determine which where the POSIX functions to be kept in.

Core functions, for *creation* and *usage* of the POSIX capability were included.

A number of limitations in the supported POSIX attributes were identified for some capabilities. This resulted in integration of some "set" functions with associated limitations in attributes value.

Consideration of *Message queues* brought additional differences between the Lw and ULw Base AEPs functions groups.

The case of "get" functions appeared to be hard to decide about, with grounded contradictory argumentations relative to their inclusion. The case of "destroy" functions appeared similarly hard to decide about.

### 6.1.3 Creation of the Additional groups

By contrast with the Lw vs ULw differences, usage or not of the "get" (=Group A) and "destroy" (=Group B) functions are of minor impact for SDR Application portability, and are mostly influenced by porting and integrations assumptions strongly depending on the usage context.

This justified to include those functions as additional groups possibly added to the Base AEPs functions groups, based on users decision related to porting objectives and constraints.

### 6.2 Design rationale for Base AEPs functions groups

### 6.2.1 Design rationale for Base AEPs Threads

## Lifecycle management

POSIX functions for *threads* creation is IN the Base AEPs functions groups: *pthread\_create()*.





The related POSIX function for *threads* release, *pthread\_exit()*, is in Group B.

POSIX functions for *threads attributes* creation and destruction are in the Base AEPs functions groups: *pthread\_attr\_init()* and *pthread\_attr\_destroy()*.

pthread\_attr\_destroy() is in the Base AEPs functions group and not in Group B because the attributes destruction is typically done at the end of the SDR Application initialization, releasing the threads creation attributes from memory once threads have been created.

Threads cancelation is NOT allowed, so *pthread\_cancel()* is NOT in the Base AEPs functions groups. Attributes *cancelstate* and *canceltype* are therefore undefined, with related POSIX functions *pthread\_[s/g]etcancelstate()* and *pthread\_[s/g]etcanceltype()* OUT of the Base AEPs functions groups.

## **Usage**

No related POSIX functions for threads, since handled by the scheduler.

#### **Attributes**

The threads are created in a unique address space, with no processes. Attribute *contentionscope* is therefore undefined, with related POSIX functions *pthread\_attr\_[s/g]etscope()* OUT of the Base AEPs functions groups.

The function *pthread\_attr\_setschedparam()* is IN the Base AEPs functions groups, with no specific limitation. The related accessor *pthread\_attr\_getschedparam()* is OUT of the Base AEPs functions groups, but is a member of Group A.

The threads have imposed values for the following attributes:

- Attribute *detachstate*: PTHREAD\_CREATED\_DETACHED,
- Attribute inheritsched: PTHREAD\_EXPLICIT\_SCHED,
- Attribute *schedpolicy*: SCHED FIFO.

To enable portability of SDR Application on OEs with RTOS supporting more creation attributes values that the limited set defined by the Base AEPs functions groups, the related attributes handling POSIX functions are IN the Base AEPs functions groups: pthread\_attr\_setdetachstate(), pthread\_attr\_setinheritsched(), pthread\_attr\_setschedpolicy().

The related accessors *pthread\_attr\_getdetachstate()*, *pthread\_attr\_getinheritsched()*, *pthread\_attr\_getschedpolicy()* are OUT of the Base AEPs functions groups, but are members of Group A.

Stack size handling functions are IN the Base AEPs functions groups: pthread attr setstacksize().

Stack base address handling functions are IN the profile, with *pthread\_attr\_setstack()*, enabling simultaneous setting of stack address and size. The function *pthread\_attr\_setstackaddr()* is OUT of the Base AEPs functions groups, since deprecated since POSIX 2008.1.





The accessors related to stack allocation *pthread\_attr\_getstacksize()* and *pthread\_attr\_getstackaddr()* are OUT of the Base AEPs functions groups, but are members of Group A.

Stack overflow detection functions *pthread\_attr\_[s/g]etguardsize()* are OUT of the Base AEPs functions groups, but are members of Group A.

The functions for dynamic handling of *schedparam* and *schedprio* attributes, *pthread\_[s/g]etschedparam()* and *pthread\_[s/g]etschedprio()* are OUT of the Base AEPs functions groups, since no dynamic handling of those parameters is not supported.

6.2.2 Design rationale for Base AEPs Semaphores

## Lifecycle management

POSIX functions for *semaphores* creation is IN the Base AEPs functions groups: *sem\_init()*.

The related POSIX function for *semaphores* release, *sem\_destroy()*, is in Group B.

Since resource constrained processors have a single address space no support for named semaphores is required, therefore functions  $sem\_open()$ ,  $sem\_close()$  and  $sem\_unlink()$  are OUT of the Base AEPs functions groups.

## Usage

The base POSIX functions for *semaphores* usage are IN the Base AEPs functions groups: *sem\_post* () and *sem\_wait*().

Related accessor *sem\_getvalue()* is OUT of the Base AEPs functions groups, but is member of Group A, see § 3.2.

Since the SDR applications considered for execution in resource constrained processors are designed according to hard real-time constraints, the functions *sem\_timedwait()* and *sem\_trywait()* are OUT of the Base AEPs functions groups.

### **Attributes**

No attributes structure is defined for semaphores.

6.2.3 Rationale for design choices of Base AEPs Message queues

## Lifecycle management

POSIX function for message queues creation is IN the Base AEPs functions groups: mq\_open().

The related POSIX function for message queues release, mq close(), is in Group B.





## Usage

The base POSIX functions for *message queues* usage are IN the Base AEPs functions groups:  $mq\_send()$  and  $mq\_receive()$ .

The absence of support for messages priority of the Base ULw AEP functions group is motivated by lack of support of this feature in COTS micro-kernels, coupled to secondary added value in front of SDR Applications for resource constrained processors.

The Base Lw AEP functions groups supports messages priority since largely availability in COTS POSIX RTOS.

The limitation of messages size to size of (void \*) in the Base ULw AEP functions group is justified by assignment of dynamic memory allocations so SDR Application, enabling optimization of memory allocation according to available memory types.

Since the SDR applications considered for execution in resource constrained processors are designed according to hard real-time constraints, the functions  $mq\_timedreceive()$  and  $mq\_timedsend()$  are OUT of the Base AEPs functions groups.

Since resource constrained processors have a single address space, functions  $mq\_notify()$  and  $mq\_unlink()$  are OUT of the Base AEPs functions groups.

### **Attributes**

No dynamic modification of the message queue flag is supported. Therefore, the functions  $mq\_getattr()$  and  $mq\_setattr()$  are OUT of the Base AEPs functions groups.

6.2.4 Rationale for design choices of Base AEPs Mutexes

### Lifecycle management

POSIX function for *mutexes* creation is IN the Base AEPs functions groups: *pthread\_mutex\_init()*.

The related POSIX function for *mutexes* release, *pthread\_mutex\_destroy()*, is in Group B.

POSIX functions for *mutexes attributes* creation and destruction are IN the Base AEPs functions groups: *pthread\_mutexattr\_init()* and *pthread\_mutexattr\_destroy()*.

pthread\_mutexattr\_destroy() is in the Base AEPs functions group and not in Group B because the mutexes attributes destruction is typically done at the end of the SDR Application initialization, releasing the mutexes creation attributes from memory once mutexes have been created.

## Usage

The base POSIX functions for *mutexes* usage are IN the Base AEPs functions groups: *pthread\_mutex\_lock()* and *pthread\_mutex\_unlock()*.





Since the SDR applications considered for execution in resource constrained processors are designed according to hard real-time constraints, the function *pthread\_mutex\_trylock()* and *pthread\_mutex\_timedlock()* are OUT of the Base AEPs functions groups.

### **Attributes**

Since resource constrained processors have a single address space, functions *pthread\_mutexattr\_[s/g]etpshared()* are OUT of the Base AEPs functions group.

For attribute *protocol*, some RTOS are only supporting value PTHREAD\_PRIO\_PROTECT while others only support PTHREAD\_PRIO\_INHERIT. Therefore the AEPs are including the two possibilities, with obligation for the OE to implement at least one. The function *pthread\_mutexattr\_setprotocol()* is therefore IN the Base AEPs functions groups.

Related accessor *pthread\_mutexattr\_getprotocol()* is OUT of the Base AEPs functions groups, but is member of Group A, see § 3.2.

In case *protocol* PTHREAD\_PRIO\_PROTECT is used, functions *pthread\_mutexattr\_setprioceiling()* is required. It is therefore IN the Base AEPs functions groups, in case PTHREAD\_PRIO\_PROTECT is used.

Related accessor *pthread\_mutexattr\_getprioceiling()* is OUT of the Base AEPs functions groups, but is member of Group A, see § 3.2.

The attribute *type* is always having value NORMAL. The functions *pthread\_mutexattr\_settype()* is therefore IN the Base AEPs functions groups to force this value. This implies that only nonrobust mutexes are required.

Related accessor *pthread\_mutexattr\_gettype()* is OUT of the Base AEPs functions groups, but is member of Group A, see § 3.2.

6.2.5 Rationale for design choices of AEPs Timers

## Lifecycle management

POSIX function for *timers* creation is IN the Base AEPs functions groups: *timer\_create()*.

The related POSIX function for *timers* release, *timer\_delete()*, is in Group B.

The possibility to use the SIGEV\_THREAD value for *sigevent\_notify* is allowed under the assumption that a system thread is used for callback execution. This precision is provided since POSIX implementations diverge in interpretation of the clause "as if executing in a newly created threads". The recommendation followed in the Base AEPs functions groups is motivated by evident real-time efficiency considerations, since systematic creation of a dedicated thread for handling of each expiring timer is not real-time efficient.





SIGEV\_SIGNAL is not permitted since signals are not supported in Base AEPs functions groups conformant RTOS. SIGEV\_NONE is not permitted since a notification mechanism is evidently required in hard real-time SDR Applications.

Therefore, the possibility to use other mechanism is offered for implementations where the SIGEV\_THREAD interpretation would not allow for efficient timers expiration handling.

### **Usage**

The base POSIX function for *timers* usage are IN the Base AEPs functions groups: *timer\_settime()*.

The POSIX function for SDR Application to retrieve time is IN the Base AEPs functions groups:  $clock\_gettime()$ . This is allowed to enable usage of absolute time for timers expiration setting.

The associated accessor *timer\_gettime()* is OUT of the Base AEPs functions groups, but is a member of Group A.

The base POSIX function for setting the clock value is OUT of the Base AEPs functions groups, since the SDR Application has no privilege to set clock time.

### **Attributes**

The POSIX function for SDR Application to retrieve clock resolution is IN the Base AEPs functions group: *clock\_getres()*.

6.2.6 Rationale for design choices of AEPs Condition variables

Most RTOS have at least one native mechanism that allows one or more threads of control to wait for an "event" or "condition" and to be released (dispatched) from the waiting state when the event is "signaled" by some other thread of control.

The exact semantics of the native event wait-dispatch mechanisms vary from vendor to vendor as is the case for other native mechanism (eg., native mutex versus the POSIX pthread\_mutex\_t.). The POSIX mapping of the event wait-dispatch idiom is the POSIX Condition variable (pthread\_cond\_t). The POSIX Condition variable semantics were introduced in the original POSIX.1c (pthreads).

There is existing practice in SDR Applications (namely Waveforms) for the POSIX CondVar, justifying insertion of condition variables in Base Lw AEP functions group. The semantics of the POSIX CondVar are conducive to writing scalable, race-free multi-waiter/multi-dispatcher codes that are common in many multi-threaded real-time problems.

Conversely, there is existing practice of SDR Applications (namely Waveforms) the no condition variables or equivalent event dispatch mechanisms are used from the RTOS, justifying exclusion of condition variables in the Base ULw AEP functions group.





## Lifecycle management

POSIX function for *condition variables* creation is IN the Base Lw AEP functions group: *pthread\_cond\_init()*.

The related POSIX function for *condition variables* release, *pthread\_cond\_destroy()*, is in Group B.

POSIX functions for *condition variables attributes* lifecycle management are OUT the Base Lw AEP functions group: *pthread\_condattr\_init()* and *pthread\_condattr\_destroy()* since, as explained below in section Attributes, no specific attribute setting related to shared memory or time is supported by the Base Lw AEP functions group condition variables.

### **Usage**

The base POSIX functions for *condition variables* usage are IN the Base Lw AEPfunctions group: *pthread\_cond\_signal()*, *pthread\_cond\_broadcast()* and *pthread\_cond\_wait()*.

Since the SDR applications considered for execution in resource constrained processors are designed according to hard real-time constraints, the function *pthread\_cond\_timedwait()* is OUT of the Base Lw AEP functions group.

### **Attributes**

The Base Lw AEP functions group provides no support for *pthread\_cond\_timedwait*(). Consistently, the *pthread\_condattr\_[g/s]etclock*() are not supported.

The Base Lw AEP function group provides no support for POSIX shared memory, therefore the associated functions *pthread\_condattr\_[g/s]setpshared()* are not supported.

As a consequence, *condattr* is not settable for condition variables.

## **6.3** Rationale for Group A

### 6.3.1 Rationale for Group A existence

The existence of Group A is justified by distinct cases for conforming or NOT conforming with Group A, as exposed hereinafter.

## Case for conforming to Group A

Usage of Group A functions is nominal situation unless the processing resources constraints become predominant.

A SDR Application conformant with Group A will take advantage of the POSIX functions of Group A, typically for enabling errors detection based on the value of the "get" retrieved thanks to Group A functions. As stated in the normative content, the usage has to be limited to run-time errors detection.





An OE conformant with Group A will offer the set of POSIX functions of Group A. This is nominally provided by COTS POSIX-certified RTOS.

## Case for NOT conforming to Group A

The case is grounded by situations where minimization of resources consumption for conformant products is considered more important than usage of values retrieved from the "get" functions.

A SDR Application NOT conformant with Group A only executes with the base AEPs functions. It is not benefitting of usage of the Group A functions, implying likely increase in porting efforts. This enables to avoid any overhead created by run-time errors detection.

An OE NOT conformant with Group A only implements the base AEPs functions. It is not enabling SDR Application to benefit from "get" values, but this saves memory footprint in the concerned OE.

This approach is particularly applicable in resource constrained OE where AEP-compliant RTOS capability is based on specific developments on top of scalable micro-kernels, eventually open source and recompiled by the OE provider.

## 6.3.2 Rationale for design choices of Group A

Only the POSIX "get" functions corresponding to "set" functions of the AEPs are IN Group A: pthread\_attr\_getdetachstate(), pthread\_attr\_getinheritsched(), thread\_attr\_getschedparam(), pthread\_attr\_getschedpolicy(), pthread\_attr\_getschedstackaddr() and pthread\_attr\_getstaksize().

Only the POSIX "get" functions corresponding to "set" functions of the AEPs are IN Group A: pthread\_mutexattr\_getprioceiling(), pthread\_mutexattr\_getprotocol() and pthread\_mutexattr\_gettype().

The case for having the previous "get" functions is primarily grounded on the possibility it offers for integrator to retrieve the default creation values of the RTOS when attributes are initialized.

The POSIX function *sem\_getvalue()* is IN Group A, since getting the instant run-time value taken by a semaphore is enabling writing of error detection assertions related to semaphores status.

The POSIX function *timer\_gettime()* is IN Group A, since getting the instant value of a timer count can be used is enabling writing of error detection assertions related to timers execution.

The POSIX function *pthread\_attr\_setguardsize()* is IN Group A, since enabling detection of stack memory leakage.

The POSIX "get" function *pthread\_attr\_getguardsize()* is IN Group A since its "set" function is IN Group A as well, in order to enable checking of correct support of the requested guard size by the RTOS.





## **6.4** Rationale for Group B

## 6.4.1 Rationale for Group B existence

The existence of Group B is justified by distinct cases for conforming or NOT conforming with Group B, as exposed hereinafter.

## Case for conforming to Group B

The case is grounded by desire to have ability to terminate SDR Applications without resetting the processor. This implies to cleanly release the RTOS resources used by the SDR Application.

For SDR Application, the application will be capable to be dynamically removed without maintaining usage of RTOS resources.

For OE, the OE will support dynamic removal of SDR Applications.

## **Case for NOT conforming to Group B**

The case is grounded by designs where the reconfiguration across SDR Application simply takes place using reset of the resource constrained processor.

For SDR Application, the application does not need to encode proper releasing of the RTOS resources it uses.

For OE, the OE does not have to implement the resource-releasing functions of Group B.

## 6.4.2 Rationale for design choices for Group B functions

All destructors associated to Base AEPs functions groups have been included in Group B, except destructors of threads and mutexes creation attributes, which are kept in Base AEPs since not related to termination of SDR Applications.





## 7 Acronyms List

AEP Application Environment Profile

COTS Commercially Off-The-Shelf

DSP Digital Signal Processor

ESSOR European Secure Software Radio

GPP General Purpose Processor

JTNC Joint Tactical Networking Center

Lw Lightweight

OE Operating Environment

POSIX Portable Operating System Interface

RTOS Real-Time Operating System

SCA Software Communications Architecture

SDR Software Defined Radio

ULw Ultra-lightweight

WInnF/WINNF Wireless Innovation Forum





## 8 References

### 8.1 POSIX 2013

**[Ref1]** The Open Group Base Specifications Issue 7 – IEEE Std  $1003.1^{\text{TM}}$ , 2013 Edition - © 2001-2013 The IEEE and the Open Group.

URL: <a href="http://pubs.opengroup.org/onlinepubs/9699919799/">http://pubs.opengroup.org/onlinepubs/9699919799/</a> (free online distribution, no file).

## **8.2 SCA 4.0.1 Appendix B**

[Ref2] Software Communications Architecture Specification, Appendix B: SCA Application Environment Profiles, Joint Tactical Networking Center, Version 4.0.1, 01 October 2012. URL: <a href="http://jtnc.mil/sca/Pages/sca1.aspx">http://jtnc.mil/sca/Pages/sca1.aspx</a> (.pdf file).

## 8.3 ESSOR Architecture DSP AEP

[Ref3] Reference content from ESSOR on DSP AEPs, input document to WInnF submitted by Indra Sistemas, WINNF-11-I-0007.

URL: <a href="http://groups.winnforum.org/d/do/4690">http://groups.winnforum.org/d/do/4690</a> (.pdf file).

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